## NOTICE OF ADOPTION OF RULE

**NOTICE IS HEREBY GIVEN**, pursuant to the authority vested in the Commissioner of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter that the Department of Buildings hereby adopts the amendments to rule 101-06 regarding special inspectors and special inspection agencies. This rule was first published on March 14, 2023, and a public hearing thereon was held on April 17, 2023.

Dated: <u>6/6/23</u> New York, New York

/s/ James S. Oddo Commissioner

# Statement of Basis and Purpose of Rule

Chapter 17 of the New York City Building Code ("BC" or "Building Code") sets out when special inspections are required. The New York City Department of Buildings ("DOB") adopted rules that specify the structure of the special inspection agency and the qualification of the special inspector in section 101-06 of Title 1 of the Rules of the City of New York. This rule amends 1 RCNY 101-06 to align it with changes made as part of the 2022 updates to the Building Code pursuant to Local Law 126 of 2021 ("2022 Building Code"). The amendments also include editorial changes to clean up some of the existing language in the rule.

More specifically, this rule:

- Amends certain BC section references due to the new numbering system adopted by ICC, as reflected in the 2022 Building Code.
- Amends inspection names to reflect new names in the 2022 Building Code.
- Adds new inspections to match those added to the 2022 Building Code. These new inspections are included in Appendix A along with the relevant qualifications for inspectors. These inspections include:
  - Open-web Steel Joists and Joist Girders (BC 1705.2.7)
  - Wood Seismic Resistance (BC 1705.5.5)
  - Construction Utilizing CLT or SCL, Type IV (BC 1705.5.6)
  - Prestressed Rock and Soil Anchor (BC 1705.8)
  - Designated Seismic Systems (BC 1705.12.1)
  - Access Floors (BC 1705.12.2)
  - Plumbing, Mechanical, Fuel Gas, and Electrical Components (BC 1705.12.3)
  - Anchorage of Storage Racks (BC 1705.12.4)
  - Construction Operations Influencing Adjacent Structures (BC 1705.25.2)
  - Tenant Protection Plan Compliance (BC 1705.26)
  - Non potable Water Systems (BC 1705.38)
  - Exhaust and Vent Systems in plenums and ducts (BC 1705.39)
- Adds Class 3 references to categories 2, 3 and 4 in Appendix A to make clear that Class 3 requirements are applicable to all categories.

The Department of Buildings' authority for these rules is found in sections 643 and 1043 of the New York City Charter and Article 115 of Title 28 of the New York City Administrative Code.

<u>New material is underlined.</u> [Deleted material is in brackets.] Asterisks (\*\*\*) indicate unamended text.

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 101-06 of Subchapter A of Chapter 100 of Title 1 of the Rules of the City of New York is amended to read as follows:

# § 101-06 Special Inspectors and Special Inspection Agencies.

(a) **Definitions.** For the purposes of this section, the following terms [will] have the following meanings:

(1) Accreditation. Evaluation of agencies, including testing and calibration laboratories, fabricators and inspection bodies, against internationally acceptable standards to demonstrate their performance capability. Such accreditation is required to be conducted by a nationally recognized accreditation agency accrediting to ISO 17020-12 international standard, the requirements of this [rule] <u>section</u>, and approved by the department.

\* \* \*

- (3) Approved Construction Documents. [For the purpose of this rule approved construction documents will include any] <u>Any</u> and all documents that set forth the location and entire nature and extent of the ["work"] proposed <u>work</u> with sufficient clarity and detail to show that the proposed work conforms to the provisions of this code and other applicable laws and rules. Such documents [will] include but <u>are</u> not [be] limited to shop drawings, specifications, manufacturer's instructions and standards that have been accepted by the design professional of record or such other design professional retained by the owner for this purpose.
- (8) *Floor Area, Gross.* This term has the same definition as established in section [1002.1] <u>202</u> of the Building Code.
- (9) *Full Demolition.* This term has the same definition as established in section [3302.1] <u>202</u> of the Building Code (Demolition, Full).

\* \* \*

(11) *Major Building.* This term has the same definition as established in section [3302.1] <u>202</u> of the Building Code.

\* \* \*

(13) New York City Construction Codes. The New York City Plumbing Code, the New York City Building Code, the New York City Mechanical Code, the New York City Fuel Gas Code, the New York City Energy Code, and Title 28, chapters 1 through 5 of the Administrative Code. Any reference to "this code" or "the code" [will be] is deemed a reference to the New York City Construction Codes as here defined.

\* \*

(15) Partial Demolition. This term has the same definition as established in section [3302.1] <u>202</u> of the Building Code (Demolition, Partial).

- (21) Special Inspection Agency. An approved inspection agency employing one (1) or more persons who are special inspectors and that has met all requirements of this [rule] section.
- (22) Special Inspection Category. The specific type(s) of special inspection(s) that a special inspection agency may perform in accordance with Appendix A of this [rule] section.
- (23) Special Inspector. An individual employed by a special inspection agency, who has the required qualifications set forth in this [rule] <u>section</u> to perform or witness particular special inspections required by the code or by the rules of the department, including but not limited to a qualified registered design professional.
- (24) Supervise/Supervision. With respect to a designated [Primary Inspector] primary inspector or [Inspection Supervisor as indicated in] inspection supervisor as provided for in Appendix A of this section, [supervision will mean] oversight and responsible control by [a registered design professional] such primary inspector or inspection supervisor having the necessary qualifications and relevant experience to perform responsibilities associated with the special inspection. Such supervision will include ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

Field supervision by the primary inspector or inspection supervisor[, per] <u>as</u> required by Appendix A <u>of this section</u>, [will include] <u>includes</u> responsibility for determining competence of special inspectors for the work they are authorized to inspect and on-site monitoring of the special inspection activities at the job site to ensure that a qualified special inspector is performing his or her duties when work requiring inspection is in progress.

With respect to a primary director or technical director of a [Special Inspection Agency] <u>special inspection agency</u>, [supervision will mean] oversight and responsible control by [a registered design professional] <u>such primary director or technical director</u> who [must] ensures that qualified inspectors are dispatched for special inspections, that such special inspectors properly document their activities, and that reports and logs are prepared in accordance with section 28-114.2 of the Administrative Code. Such supervision [will include] <u>includes</u> ensuring training and/or education necessary to qualify the special inspector for his or her duties, including continued training and education necessary to keep pace with developing technology.

[If the primary director or technical director of a special inspection agency is not a registered design professional, that special inspection agency shall be limited to performing only those special inspections, per Appendix A, that the special inspection agency's primary director or technical director possesses a departmentissued license to perform.]

(25) *Technical Director*. An individual possessing one or more of the following licenses: Master Electrician, Master Fire Suppression Piping Contractor, Oil Burning Equipment Installer, Master Plumber, or Registered Design Professional and designated as "<u>Technical</u> Director" in the Department's Special Inspection Agency registration.

(26) *Technician.* A person employed by the special inspection agency assigned to perform the inspection or testing of construction activities or materials used in construction or both. See ASTM E [329-14, paragraph 3.1.8] <u>329-21</u>.

\* \* \*

#### (b) Duties.

(1) Availability and Compliance. A special inspector [and/]or special inspection agency [shall have] <u>has the</u> responsibilities [as] set forth in chapter 17 of the New York City Building Code and elsewhere in the codes where special inspections are required. The responsibilities of the special inspector or special inspection agency at a special inspection [shall] include those tasks and standards set forth in chapter 17 of the code, the reference standards and elsewhere in the code, this [rule] <u>section</u> or any rule of any agency in connection with the work that is the subject of such special inspection.

\* \* \*

- (3) Approved Construction Documents. The special inspector [and/]or special inspection agency [shall:
  - (i) Examine all approved construction documents that relate to the work that is the subject of the special inspections.
  - (ii) Confirm that the documents are sufficient to enable the proper performance of the special inspection.
  - (iii) Confirm that the documents are acceptable to the registered design professional of record or another design professional retained by the owner and who prepared the documents for the construction of the job. Acceptance shall be demonstrated in writing by the design professional on the documents.
  - (iv) Confirm that the work that is the subject of the special inspection is in compliance with all relevant approved construction documents and with chapter 17 of the New York City Building Code or elsewhere in the construction codes or their referenced standards.]

must comply with all applicable provisions in section 1704.1.1.2 of the Building Code that relate to approved construction documents.

(4) Documentation. A special inspection agency [shall] <u>must</u> maintain records of special inspections on a job-by-job basis for at least six (6) years or for such period as the commissioner [shall determine] <u>determines</u>, and [shall] <u>must</u> make such records available to the department upon request. Such records [shall] <u>must</u> include field logs, test results, laboratory reports, notes, noted deficiencies and dates of cures of such deficiencies, photographs and such other information as may be appropriate to establish the sufficiency of the special inspection as per Chapter 17 of the Building Code or elsewhere in the New York City Construction Codes or their referenced standards.

- (i) The primary inspector or inspection supervisor[, per] <u>as provided for in</u> Appendix A[, shall] <u>of this section must</u> review special inspection progress reports and final reports for conformance with the approved plans, specifications and workmanship provisions of chapter 17 of the New York City Building Code or elsewhere in the construction codes or their referenced standards. [Such supervision and control shall be evidenced by the supervisor's signature] <u>Such primary inspector or inspection supervisor</u> <u>must sign</u> and seal [upon] any required statements, applications [and/]or reports.
- (ii) The primary director or technical director of the special inspection agency [shall] <u>must</u> file [with the department within ten (10) days] <u>notice</u> of satisfactory completion of any special inspection[, notice] <u>with the</u> <u>department within ten (10) days</u> of such completion on the forms and in the manner required by the department.
- (5) Obligation to Cooperate with Inquiries. All special inspectors [and/]or special inspection agencies [shall] <u>must</u> cooperate with any investigation, including any audit investigation, by the department, or other city or law enforcement agency, into the activities at any job site or fabricating/manufacturing facility for which they have been designated a special inspector or special inspection agency and [shall] <u>must</u> provide prompt, accurate and complete responses to reasonable inquiries by the department and other appropriate agencies about the conduct of such business.
- (6) Limitation of Duties. Activities as a special inspection agency are specifically limited to those special inspections for which the special inspection agency has been registered and for which it has satisfied the requirements set forth in this [rule] <u>section</u> and chapter 17 of the New York City Building Code. No special inspector or special inspection agency [shall] <u>may</u> conduct any special inspection that such inspector or inspection agency is not qualified or registered to perform in accordance with the requirements of this [rule] <u>section</u> and chapter 17 of the New York City Building Code. No special inspector or special inspection agency is not qualified or registered to perform in accordance with the requirements of this [rule] <u>section</u> and chapter 17 of the New York City Building Code. <u>No special inspector or special inspection agency may perform an inspection or test with an expired or lapsed New York State license or department registration.</u>
- (7) Obligation to Comply with an Order of the Commissioner. All special inspectors and/or special inspection agencies [shall] <u>must</u> comply with an order of the commissioner.
- (8) Equipment and Tools. The special inspection agency [shall] <u>must</u> possess and maintain tools and equipment required to perform the specific special inspection. Such tools and equipment [shall] <u>must</u> be maintained and calibrated periodically in accordance with applicable nationally accepted standards governing that equipment or tool.

- (9) Reporting. The special inspection agency [shall] <u>must</u> report any discrepancies noted during the inspection to the contractor and when applicable to the superintendment of construction, as well as to the site safety coordinator or site safety manager, for correction. If the discrepancies are not corrected, the discrepancies [shall] <u>must</u> be brought to the attention of the owner[,] and the registered design professional of record prior to the completion of that phase of the work. The special inspector/special inspection agency [shall] <u>must</u> report conditions noted as hazardous to life, safety or health that are not immediately corrected to the [immediate attention of the commissioner] <u>department</u>.
- (10) Service of process. All special inspection agencies must have an agent for the acceptance of service or maintain a New York City address. A Post Office [Box will not be] <u>box is not</u> acceptable for such purposes. All agencies must comply with the requirements of this paragraph upon registration.

#### (c) Registration of Special Inspection Agencies.

- (1) General. All agencies performing special inspections must be registered with the department as <u>a</u> special inspection [agencies] <u>agency</u>. Applicants, both at the time of their approval and at the time of all subsequent renewals, are subject to the provisions of Article 401 of Chapter 4 of Title 28 of the Administrative Code.
- (2) Form and Manner of Registration. An application for registration must be submitted in a form and manner determined by the commissioner, including electronically, and must provide such information as the commissioner may require. Such registration will be deemed an acknowledgement by the special inspection agency of its obligations hereunder.
- [(i)] (3) Registration of Special Inspection Categories. Agencies must register for each special inspection category that the agency intends to perform.
- [(ii)] (4) Registration of Special Inspection Classes. Agencies must register as class 1, class 2, or class 3 special inspection agencies for each special inspection category for which the agency registers.
  - [(A)] (i) Class 1. An agency registered as a Class 1 special inspection agency for a special inspection category [will be] is permitted to perform the work associated with such special inspection category on any project.
  - [(B)](ii) Class 2. An agency registered as a Class 2 special inspection agency for a special inspection category [will be] is permitted to perform the work associated with such special inspection category on any project, except those involving:
    - [1.] (A) The construction of a new major building;
    - [2.] (B) The full demolition of a major building;
    - [3.] (C) The alteration of a major building, including:
      - [a] <u>1</u>. The removal of an entire story or more;

[b] <u>2</u>. The partial demolition of twenty thousand (20,000) square feet or more of gross floor area; or

[c] <u>3</u>. The partial demolition of twenty (20) percent or more of the gross floor area.

- [4.] (D) The enlargement of a major building by more than ten thousand (10,000) square feet of gross floor area.
- [(C)] <u>(iii)</u> Class 3. An agency registered as a Class 3 special inspection agency for a special inspection category [will be] is permitted to perform the work associated with this category on the following projects only:
  - [1.] (A) The construction, demolition, or alteration of a one-, two-, or threefamily dwelling; or
  - [2.] (B) The alteration of any building, including partial demolition, of less than ten thousand (10,000) square feet of gross floor area in total.

Exception: The special inspection categories of underpinning, mechanical means and methods of demolition, and the protection of the sides of excavations greater than ten (10) feet in depth must be performed only by special inspection agencies registered as either class 1 or class 2 special inspection agencies for such categories.

- [(3)](5) Agency Accreditation. For class 1 special inspection agencies only, accreditation is required [by the accreditation deadline] in order to maintain their class 1 registration status. These agencies [will be required to] <u>must</u> demonstrate accreditation for their intended scope of work by an approved accrediting body accrediting to the standards set forth in this [rule] <u>section</u> and ISO 17020-12. Accrediting bodies other than federal agencies [are required to] <u>must</u> operate in accordance with ISO 17011-04 and must be members of an internationally recognized cooperation of laboratory and inspection accreditation bodies subject to a mutual recognition agreement.
- [(4)](6) Qualifications. All special inspection agency primary directors and technical directors must ensure that the special inspectors employed by the agency meet the qualification requirements set forth in Appendix A of this [rule] section and perform special inspections only within the area of expertise for which such special inspectors are qualified. A Professional Engineer who is listed in Appendix A of this section as requiring qualification in civil, structural, mechanical, electrical, fire protection, geotechnical or such other designation must have had the education, training and experience that has led to an accumulation of knowledge and skill required for the New York State-licensed Professional Engineer to hold himself/herself out as a professional practicing in that field.
- [(5)](7) Insurance. All special inspection agencies must have [the following insurance coverage: (i) Professional] <u>a professional</u> liability/errors and omissions insurance policy [for] <u>in</u> the minimum amount of five hundred thousand dollars (\$500,000.00), claim-based, for the term of the registration, <u>as well as insurance required by the</u>

provisions of the New York State Workers' Compensation and disability benefits laws.

Exception: An agency that is limited to performing:

- [(1)](i) fuel-oil storage and fuel-oil piping inspections,
- [(2)](ii) sprinkler system inspections,
- [(3)](iii) standpipe system inspections,
- [(4)](iv) emergency and standby power system inspections,
- [(5)]<u>(v)</u> private on-site storm water disposal and detention system installation, [and/]or
- [(6)](vi) individual on-site private sewage disposal system installation special inspections, and whose primary director or technical director is not a registered design professional,

[will be] <u>is</u> exempt from obtaining professional liability insurance coverage. This exception applies so long as the primary director or technical director maintains the insurance requirements required for his/her respective license in accordance with Chapter 4 of Title 28 of the Administrative Code.

[(ii) Insurance required by the provisions of the New York State Workers' Compensation and disability benefits laws.]

- [(6)](8) Agency Structure. A special inspection agency must have one <u>full-time</u> primary director and up to four (4) [alternative] full-time technical directors who are registered design professionals in charge and all special inspections must be performed under their direct supervision. Neither the primary director nor the technical directors may be retained by any other agency that provides special inspection or testing services, nor [shall] <u>may</u> they be an owner of another special inspection or testing agency or be involved in the supervision of such an agency. The primary director [or] and technical directors must possess relevant experience in the inspection and testing industry and hold management positions in the agency. The agency structure must comply with all relevant New York State and Federal laws. Notwithstanding anything to the contrary set forth in this paragraph, an agency that is limited to performing:
  - [(1)](i) fuel-oil storage and fuel-oil piping inspections,
  - [(2)](ii) sprinkler system inspections,

[(3)](iii) standpipe system inspections,

[(4)](iv) emergency and standby power system inspections,

[(5)](v) private on-site storm water disposal and detention system installation, or

[(6)]<u>(vi)</u>individual on-site private sewage disposal system installation special inspections

may have a primary director and technical directors who satisfy the requirements of primary inspector or inspection supervisor for such tests and inspections as set forth in Appendix A of this rule.

- [(7)] (9) Audits. The operations of special inspectors and special inspection agencies [will be]are subject to audit by the department at any time. Audits may involve the examination of applications for registration as well as the performance and documentation of special inspections. Audits may also be conducted upon receipt of complaints or evidence of falsification, negligence or incompetence.
- [(8)] (10) Registration and Renewal Term. The term of an initial registration is three (3) years, beginning on the [applicant's] <u>primary director's</u> birthday following the date of registration, and may be renewed for additional three (3) year periods after such initial registration in accordance with the provisions of Article 401 of Chapter <u>4 of Title 28 of the Administrative Code</u>. If, after a period of one year from the date of the expiration of its registration, the special inspection agency has failed to apply for late renewal of its registration, the special inspection agency must register anew, subject to the provisions of this section.
- [(9) Registration Fees. Fees will be those set forth in section 101-03 of these rules.]
- [(10)] (11) <u>Denial of</u> Renewals. [(i) Renewal] <u>Requests for renewal</u> will be [precluded] <u>denied</u> where there has been a finding by the commissioner:
  - (i) that any special inspection or test conducted by the special inspector or special inspection agency has not been performed in accordance with the requirements set forth in the code, applicable reference standards or the rules of the department, [or where there has been a finding by the commissioner of]
  - (ii) <u>that there was</u> fraud or misrepresentation on any document or report submitted to the department by the special inspector or special inspection agency, [or where there has been a finding by the commissioner]
  - (iii) that any special inspection has been performed by an inspector who does not possess the proper qualifications [.
     (ii) Renewal will be precluded where there has been a finding by the commissioner], or
  - (iv) that the owner, primary director or technical director has not demonstrated good moral character.

[(iii) Renewal will be precluded where there has been a finding that the owner or primary director or technical director, after an opportunity to be heard, knowingly or negligently made a false statement or knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this chapter, the code or any rule or regulation of any agency.

(iv) No special inspector or special inspection agency will perform an inspection or test with an expired or lapsed New York State license or department registration. The filing of any report with an expired or lapsed registration, or the filing of any report for any inspection performed with an expired or lapsed registration, shall be considered a false filing, per Article 211 of Chapter 2 of Title 28 of the Administrative Code.

(v) If, after a period of one year from the date of the expiration of its registration, the special inspection agency has failed to apply for late renewal of its registration, the special inspection agency shall be required to register anew subject to the provisions of this Rule.]

(12) Registration and Renewal Fees. Fees are set forth in section 101-03 of this chapter.

## (d) Disciplinary Actions.

- (1) Suspension or [revocation of registration and refusal to accept filings] <u>Revocation of Registration</u>. The commissioner may, in accordance with the provisions of Article 401 of Chapter 4 of Title 28 of the Administrative Code and the rules of the department, suspend or revoke a special inspection agency registration, with or without the imposition of penalties, for violation of any provision of the New York City Construction Codes or the rules of the department, or any other applicable laws or rules.
- (2) The commissioner may refuse to accept any application or other document submitted pursuant to or in satisfaction of any requirement of this [rule]section or of chapter 17 of the New York City Building Code or any rule or regulation of any agency that bears the signature of any primary director or technical director who has been found, after an opportunity to be heard, to have knowingly or negligently made a false statement or to have knowingly or negligently falsified or allowed to be falsified any certificate, form, signed statement, application, report or certification of the correction of a violation required under the provisions of this [chapter]section, the code or any rule or regulation of any agency.
- [(2)](3) Stop Work and Suspension of Permits. Upon any suspension or revocation of registration pursuant to paragraph [(d)](1) of <u>subdivision (d) of</u> this [rule] <u>section</u>, unless replaced by another registered special inspection agency within five (5) business days of such suspension or revocation, all jobs on which the special inspection agency whose registration was suspended or revoked is designated, shall be stopped and the permits shall be suspended until such time as a duly registered special inspection agency is designated to re-inspect such tests or such inspections performed by the disciplined special inspection agency, or until such permits expire.

(e) Obligations of Others. Nothing in this [rule] <u>section</u> is intended to alter or diminish any obligation otherwise imposed by law, including but not limited to the obligation of an owner, construction manager, general contractor, contractor, materialman, architect, engineer, site safety manager, land surveyor, superintendent of construction or other party involved in a construction

project to engage in sound engineering, design, and construction practices, and to act in a reasonable and responsible manner to maintain a safe construction site.

[(f) Additional Powers of the Commissioner. The commissioner may, upon a determination of good cause, extend the dates and deadlines set forth in this rule.]

# APPENDIX A

			Qualifications <sup>1,2</sup>	
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor
1. General Build	ling Construction			
Wall Panels, Curtain Walls, and Veneers	BC [1704.10] <u>1705.20</u>	<ul> <li>RA or PE – Civil or Structural Engineering; and</li> <li>1 Year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Architecture or Civil Engineering or Structural Engineering; and</li> <li>2 years relevant experience</li> </ul>	Technician with 3 years relevant experience
[Exterior Insulation and Finish Systems (EIFS)] <u>Combustible</u> <u>Exterior Wall</u> <u>Covering</u>	BC [1704.13] <u>1705.16</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	N/A	<ul> <li>Technician with 2 years relevant experience</li> </ul>
Chimneys <u>and</u> <u>Vents</u>	BC [1704.26] <u>1705.32</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Architecture or Engineering; and</li> <li>2 years relevant experience</li> </ul>	<ul> <li>Technician with ICC Certification as a Residential or Commercial Mechanical Inspector; and</li> <li>5 years relevant experience</li> </ul>
Flood Zone Compliance	BC [1704.29] <u>1705.34,</u> BC [G105] <u>G107</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	N/A	N/A
Luminous [Egress] <u>Exit</u> Path Markings	BC [1704.30] <u>1705.35,</u> BC [1024.8] <u>1025.8</u>	<ul> <li>PE or RA; and</li> <li>relevant experience</li> </ul>	N/A	<ul> <li>Technician with relevant experience</li> </ul>

			Qualifications <sup>1,2</sup>	
Special Inspection Category Tenant Protection Plan Compliance	[2014] <u>2022</u> Code Section BC 1705.26	Primary Inspector or Inspection Supervisor • <u>PE or RA; and</u> • <u>relevant</u> <u>experience</u>	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor <u>N/A</u>	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor • Technician with relevant experience
<u>Nonpotable Water</u> <u>Systems</u>	<u>BC 1705.38</u>	<ul> <li><u>PE or RA; and</u></li> <li><u>1 year relevant</u> <u>experience</u></li> </ul>	<u>N/A</u>	<u>ICC</u> <u>Certification</u> <u>as a</u> <u>Commercial</u> <u>or</u> <u>Residential</u> <u>Building</u> <u>Inspector;</u> <u>and 2 years</u> <u>relevant</u> <u>experience</u>
Exhaust and Vent Systems in Plenums and Ducts	<u>BC 1705.39</u>	<ul> <li><u>PE or RA; and</u></li> <li><u>1 year relevant</u> <u>experience</u></li> </ul>	<ul> <li><u>Bachelor's</u> <u>degree in</u> <u>Architecture or</u> <u>Engineering;</u> <u>and</u></li> <li><u>2 years</u> <u>relevant</u> <u>experience</u></li> </ul>	<ul> <li><u>Technician</u> <u>with ICC</u> <u>Certification</u> <u>as a</u> <u>Residential or</u> <u>Commercial</u> <u>Mechanical</u> <u>Inspector;</u> <u>and</u></li> <li><u>5 years</u> <u>relevant</u> <u>experience</u></li> </ul>
Class 3 Special Inspections (Small Projects)[ <sup>3</sup> ]		<ul> <li>PE or RA; and</li> <li>relevant experience</li> </ul>	See Technician requirements for relevant inspection	<ul> <li>See Technician requirements for relevant inspection.</li> </ul>
2. Fire Protectio	on Systems & Fire-Resis	stant Construction		
Sprayed Fire- resistant Materials and Mastic and Intumescent Fire- resistant Coatings	BC [1704.11] <u>1705.14</u> , BC [1704.12] <u>1705.15</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Architecture or Engineering; and (a or b) a. 1 year relevant</li> </ul>	<ul> <li>Technician with ICC Certification as a Spray- applied Fireproofing Special</li> </ul>

			Qualifications <sup>1,2</sup>	
			Supplemental	Supplemental
			Inspector	Inspector
			(Alternative 1) -	(Alternative 2) -
		Primary	under direct	under direct
Special		Inspector	supervision of	supervision of
Inspection	[2014] <u>2022</u> Code	or Inspection	Inspection	Inspection
Category	Section	Supervisor	Supervisor	Supervisor
Category	Coolion		experience;	Inspector;
			or	and
			b. ICC	<ul> <li>3 years</li> </ul>
			Certification	relevant
			as a Spray-	experience
			applied	experience
			Fireproofing	
			Special	
			Inspector	
Smoke Control	BC [1704.15] <u>1705.18</u>	• PE or RA; and	AABC Test	Technician
Systems		<ul> <li>1 year relevant</li> </ul>	and Balance	with NEBB Air
,		experience	Technician	Balancer
		(mechanical	Certification;	Certification;
		and/or fire	and	and
		protection)	<ul> <li>3 years</li> </ul>	<ul> <li>3 years</li> </ul>
		protociony	relevant	relevant
			experience	experience
Sprinkler Systems	BC [1704.23] <u>1705.29</u>	• PE –	Bachelor's	Technician with
and	BC [1704.24] <u>1705.30</u>	Mechanical	degree in	NICET Level II
Standpipe Systems		Engineering;	Mechanical	Certification in
		and	Engineering;	Fire Protection –
		• 1 year relevant	and	Inspection and
		experience	<ul> <li>3 years</li> </ul>	Testing of Water-
		OR	relevant	based Systems
		NYC Licensed	experience	-
		Class A or		
		Class B Master		
		Fire		
		Suppression		
		Piping		
		Contractor		
		License; and		
		• Independent of		
		installer		
Fire-resistant	BC [1704.27] <u>1705.17</u>	PE or RA; and	Bachelor's	Technician
Penetrations and	· · ·	<ul> <li>1 year relevant</li> </ul>	degree in	with 3 years
Joints		experience	Architecture or	relevant
				experience
			Engineering;	experience
			and	experience
				experience
			and	experience

			Qualifications <sup>1,2</sup>	
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor
Emergency and Standby Power Systems (Generators)	BC [1704.31] <u>1705.36</u>	<ul> <li>PE – Mechanical, Electrical, or Fire Protection; and</li> <li>1 year relevant experience</li> <li>OR</li> <li>Licensed electrician independent of installer; and</li> <li>3 years relevant experience</li> </ul>	Technician with 5 years relevant experience	N/A
Class 3 Special Inspections (Small Projects)		<ul> <li><u>PE or RA; and</u></li> <li><u>relevant</u> <u>experience</u></li> </ul>	<u>See Technician</u> requirements for relevant inspection	See Technician requirements for relevant inspection.
3. Plumbing & M	lechanical Systems			
Mechanical Systems	BC [1704.16] <u>1705.21</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience; or</li> <li>ICC Certification as a Residential or Commercial Mechanical Inspector</li> </ul>	<ul> <li>Bachelor's Degree in Architecture, or Engineering; and</li> <li>2 years relevant experience; and</li> <li>ICC Certification as a Residential or Commercial Mechanical Inspector</li> </ul>	<ul> <li>Technician with ICC Certification as a Residential or Commercial Mechanical Inspector; and</li> <li>3 years relevant experience</li> </ul>
Fuel-Oil Storage and Fuel-Oil Piping Systems	BC [1704.17] <u>1705.22</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> <li>OR</li> </ul>	<ul> <li>Bachelor<u>'</u>s degree in Architecture, or Mechanical,</li> </ul>	<ul> <li>Technician with ICC Certification as a Residential or</li> </ul>

		Qualifications <sup>1,2</sup>		
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor • Licensed New	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor Marine or Civil	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor Commercial
		York City Class A and B Oil Burner Equipment Installer; and 1 year experience in the inspection of heating systems, Fuel burning-Fuel storage systems.	Engineering; and • 3 years relevant experience	Mechanical Inspector; and • 5 years relevant experience
Private On-Site Storm Water Disposal Systems and Detention Facilities, and Individual On-Site Private Sewage Disposal Systems Installation	BC [1704.21] <u>1705.27</u> , BC [1704.22] <u>1705.28</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> <li>OR</li> <li>NYC Licensed Master Plumber; and</li> <li>3 years relevant experience</li> </ul>	<ul> <li>Bachelor's Degree in Architecture, Civil or Mechanical Engineering; and</li> <li>3 years relevant experience</li> </ul>	N/A
Heating Systems	BC [1704.25] <u>1705.31</u>	<ul> <li>PE or RA – Civil or Mechanical Engineering; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's Degree in Architecture, or Civil or Mechanical Engineering; and</li> <li>3 years relevant experience; and</li> <li>ICC Certification as a Residential or Commercial Mechanical Inspector</li> </ul>	<ul> <li>Technician with ICC Certification as a Residential or Commercial Mechanical Inspector; and</li> <li>5 years relevant experience</li> </ul>

			Qualifications <sup>1,2</sup>	
Special		Primary Inspector	Supplemental Inspector (Alternative 1) - under direct supervision of	Supplemental Inspector (Alternative 2) - under direct supervision of
Inspection	[2014] <u>2022</u> Code	or Inspection	Inspection	Inspection
Category <u>Class 3 Special</u> <u>Inspections (Small</u> <u>Projects)</u>	Section	<ul> <li>Supervisor</li> <li>PE or RA; and</li> <li>relevant experience</li> </ul>	Supervisor See Technician requirements for relevant inspection	Supervisor See Technician requirements for relevant inspection.
	terials & Construction C		<b></b>	<b>—</b> • • •
Seismic Resistance of Seismic Force- Resisting Systems and Structural Steel Elements	<u>BC 1705.2.4</u>	<ul> <li><u>PE or RA; and</u></li> <li><u>1 year relevant</u> <u>experience</u></li> </ul>	<ul> <li><u>Bachelor's</u> <u>degree in</u> <u>engineering or</u> <u>architecture;</u> <u>and</u></li> <li><u>2 years</u></li> </ul>	<u>Technician</u> <u>with ICC</u> <u>Certification</u> <u>as a</u> <u>Structural</u> Steel and
Seismic Resistance of Designated Seismic Systems	BC 1705.12.1		relevant experience	Bolting Special Inspector;
Seismic Resistance of Anchorage for Access Floor	<u>BC 1705.12.2</u>			<ul> <li><u>and</u></li> <li><u>3 years</u> relevant experience</li> </ul>
Seismic Resistance of Plumbing, Mechanical, Fuel Gas and Electrical Components	<u>BC 1705.12.3</u>			
<u>Seismic Resistance</u> of Storage Racks	<u>BC 1705.12.4</u>			
Seismic Resistance of Wood Structures	<u>BC 1705.5.5</u>			
Seismic Resistance of Seismic Isolation Systems	<u>BC 1705.12.5</u>	<ul> <li><u>PE; and</u></li> <li><u>2 years</u> relevant experience</li> </ul>	<ul> <li><u>Bachelor's</u> <u>degree in</u> <u>Geotechnical,</u> <u>Civil, or</u> <u>Structural</u> <u>engineering;</u> <u>and</u></li> <li><u>5 years</u> <u>relevant</u> <u>experience</u></li> </ul>	<u>N/A</u>
Welding: Steel,	BC [1704.3.1] <u>1705.2.1</u> ,	• PE or RA; and	AWS Certified     Welding	AWS     Certified

			Qualifications <sup>1,2</sup>	
Special Inspection Category High pressure Steam Piping[,] and High temperature Hot Water Piping, High pressure Gas Piping, and Aluminum [(2 RCNY 25 –	<b>[2014] <u>2022</u> Code</b> Section BC [1704.18] <u>1705.23</u> , [BC 1704.19,] BC [1704.28] <u>1705.24,</u> <u>BC 1705.33</u>	Primary Inspector or Inspection Supervisor • AWS Certified Welding Inspector (AWS-CWI); OR • PE or RA; and • ICC Certification as a Structural	Qualifications <sup>1,2</sup> Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor Inspector (AWS-CWI) OR ICC Certification as a Structural Welding Inspector (ICC- SWI)	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor Associate Welding Inspector (AWS-CAWI) Note: AWS- CAWI only permitted to inspect when an
Structural Steel – Details	BC [1704.3.2] <u>1705.2.2</u>	<ul> <li>a Structural Welding Inspector (ICC- SWI); and</li> <li>1 year relevant experience</li> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>3 years relevant experience</li> <li>Bachelor's degree in engineering or architecture; and</li> <li>2 years relevant experience</li> </ul>	<ul> <li>Technician with ICC</li> <li>Technician with ICC</li> <li>Certification as a Structural Steel and Bolting Inspector; and</li> <li>3 years relevant experience</li> </ul>
Structural Steel – High-Strength Bolting <b>Note:</b> Inspection can also be performed by agency approved to inspect welding	BC [1704.3.3] <u>1705.2.3</u>	<ul> <li>PE – Civil/Structural; and</li> <li>ICC Certification as a Structural Steel and Bolting Inspector; and</li> <li>1 year relevant experience</li> </ul>	N/A	<ul> <li>Technician with ICC Certification as a Structural Steel and Bolting Inspector; and</li> <li>3 years relevant experience</li> </ul>
Structural Cold- formed Steel	BC [1704.3.2] <u>1705.2.2,</u> BC [1704.3.4] <u>1705.2.6</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in engineering or</li> </ul>	<ul> <li>Technician with ICC Certification as a</li> </ul>

			Qualifications <sup>1,2</sup>	
			Supplemental	Supplemental
			Inspector	Inspector
			(Alternative 1) -	(Alternative 2) -
		Primary	under direct	under direct
Special		Inspector	supervision of	supervision of
Inspection	[2014] <u>2022</u> Code	or Inspection	Inspection	Inspection
Category	Section	Supervisor	Supervisor	Supervisor
		•	architecture;	Structural
			and	Steel and
			2 years	Bolting
			relevant	Special
			experience	Inspector;
				and
				<ul> <li>3 years</li> </ul>
				relevant
				experience
Open-web steel	BC 1705.2.7	• PE or RA; and	N/A	<u>Technician</u>
joists and joist	<u> </u>	<ul> <li>1 year relevant</li> </ul>	<u></u>	with ICC
girders		experience		Certification
<u>g</u>				as a
				Structural
				Steel and
				Bolting
				Special
				Inspector;
				and
				• <u>3 years</u>
				relevant
				experience
Concrete – Cast-in-	BC [1704.4] <u>1705.3</u>	<ul> <li>PE or RA; and</li> </ul>	ACI	ACI
place & Precast		• 1 year relevant	Certification as	Certification
		experience	Concrete	as an
Note: Licensed			Construction	Associate
concrete testing lab			Special	Concrete
to perform			Inspector (ACI-	Construction
sampling and			CCSI)	Special
testing of cylinders			OR	Inspector
			ICC	(ACI-ACCSI)
			Certification as	
			Concrete	Note: ACI-
			Special	ACCSI only
			Inspector	permitted to
			(ICC-CSI)	perform
				inspection under
				on-site
				supervision by
				PE, RA, ACI-
				CCSI, or ICC-
				CSI

			Qualifications <sup>1,2</sup>	
Special Inspection Category Prestressed Concrete	[2014] <u>2022</u> Code Section BC [1704.4] <u>1705.3</u>	Primary Inspector or Inspection Supervisor • PE – Civil/Structural; and • 1 year relevant experience	Qualifications <sup>1,2</sup> Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor • Bachelor's degree in engineering; and • ICC Certification as	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor • ICC Certification as Prestressed Concrete Special
Masonry	BC [1704.5] <u>1705.4</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	Prestressed Concrete Special Inspector; and 1 year relevant experience Bachelor's degree in engineering or architecture; and ICC	Inspector; and 3 years relevant experience ICC Certification as a Structural Masonry Special
Wood Construction – Prefabricated	BC [1704.6] <u>1705.5.3</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant</li> </ul>	Certification as a Structural Masonry Special Inspector; and • 1 year relevant experience N/A	Inspector; and • 2 years relevant experience • ICC Certification
Wood I-Joists, Metal-plate- connected Wood Trusses, and High- load Diaphragms		experience		<ul> <li>as a Commercial or Residential Building Inspector; and</li> <li>2 years relevant experience</li> </ul>
Type IV Construction	<u>BC 1705.5.6</u>	<ul> <li><u>PE or RA; and</u></li> <li><u>1 year</u> relevant experience</li> </ul>	<u>N/A</u>	<u>ICC</u> <u>Certification</u> <u>as a</u> <u>Commercial</u> <u>or Residential</u> <u>Building</u>

		Qualifications <sup>1,2</sup>		
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor Inspector;
Subsurface Conditions – Subgrade Inspection	BC [1704.7.1] <u>1705.6</u>	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> <li>1 year relevant experience</li> </ul>	N/A	and • <u>2 years</u> <u>relevant</u> <u>experience</u> N/A
Subsurface Conditions - Fill Placement, In- place Density	BC [1704.7.2, BC 1704.7.3] <u>1705.6</u>	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Geotechnical, Civil, or Structural engineering; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Technician with NICET Level I Geotechnical Certification; and</li> <li>2 years relevant experience</li> <li>OR</li> <li>Technician with ICC Certification Soils Special Inspector</li> <li>1 year relevant experience</li> </ul>
Subsurface Investigations, Borings and Test Pits	BC [1704.7.4, BC 1704.21.1.1] <u>1705.27.1</u>	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Geotechnical, Civil, or Structural engineering; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Technician with NICET Level II Geotechnical Certification; and</li> <li>Must take and pass Soils classification sections of NICET certification</li> </ul>

			Qualifications <sup>1,2</sup>	
Special Inspection Category Deep Foundations and Helical Piles	[2014] <u>2022</u> Code Section BC [1704.8] <u>1705.7</u> BC [1808] <u>1810</u> , BC [1809] <u>1811</u> , BC [1810] <u>1812, BC</u> <u>1705.9</u>	Primary Inspector or Inspection Supervisor • PE – Geotechnical, Civil, or Structural; and • 1 year relevant experience	Qualifications <sup>1,2</sup> Supplemental Inspector         (Alternative 1) - under direct supervision of Inspection Supervisor         • Bachelor's degree in Geotechnical, Civil, or Structural engineering; and         • 3 years relevant experience         Note: Supplemental Inspector only permitted to inspect when multiple driving rigs are used on the same site and	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor • Technician with NICET Level III Geotechnical Certification Note: Supplemental Inspector only permitted to inspect when multiple driving rigs are used on the same site and Primary Inspector is on site supervising
Vertical Masonry Foundations	BC [1704.9] <u>1705.19</u>	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> <li>1 year relevant experience</li> </ul>	Primary Inspector is on site supervising • Bachelor's degree in Geotechnical, Civil or Structural; and • 3 years relevant	N/A
Prestressed Rock and Soil Anchor Tests	<u>BC 1705.8</u>	<ul> <li><u>PE –</u> <u>Geotechnical,</u> <u>Civil, or</u> <u>Structural; and</u></li> <li><u>1 year relevant</u> <u>experience</u></li> </ul>	experience • <u>Bachelor's</u> <u>degree in</u> <u>Geotechnical,</u> <u>Civil or</u> <u>Structural; and</u> • <u>3 years</u> <u>relevant</u> <u>experience</u>	<u>N/A</u>
Underpinning <u>and</u> <u>Alternate Methods</u> <u>of Support of</u>	BC [1704.20.3] <u>1705.25.4</u> , <u>BC</u> <u>1705.25.8.3,</u> BC [1814] <u>1817</u>	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> </ul>	Bachelor's     degree in     Geotechnical,	N/A

		Qualifications <sup>1,2</sup>		
Special Inspection Category Buildings and Adjacent Property	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor • 1 year relevant experience	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor Civil or Structural; and	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor
			3 years relevant experience	
Structural - Stability – [Existing Buildings] Alterations to Existing Structures, Construction Operations Influencing Adjacent Structures, Mechanical [Demolition] Means and Methods of Demolition, Other Means of Demolition, and Raising and Moving of a Building	BC [1704.20.2] <u>1705.25</u> , <u>BC 1705.25.1</u> , <u>BC 1705.25.5.1</u> , <u>BC 1705.25.5.2</u> <u>BC 1705.25.6</u> , BC 3304.4[.1]	<ul> <li>PE – Civil/Structural; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's Degree in Civil or Structural Engineering; and</li> <li>3 years relevant experience</li> </ul>	N/A
Excavation - Sheeting, Shoring, and Bracing	BC [1704.20.2] <u>1705.25.3, BC</u> 3304.4[.1]	<ul> <li>PE – Geotechnical, Civil, or Structural; and</li> <li>1 year relevant experience</li> </ul>	<ul> <li>Bachelor's degree in Geotechnical, Civil or Structural; and</li> <li>3 years relevant experience</li> </ul>	<ul> <li>Technician with 5 years relevant experience</li> </ul>
[Seismic Isolation Systems]	[BC 1707.8]	[ • PE; and • 2 years relevant experience]	[ Bachelor's degree in Geotechnical, Civil, or Structural engineering; and	[ • N/A]

		Qualifications <sup>1,2</sup>			
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor	
			5 years relevant experience]		
Post-installed Anchors	BC [1704.32] <u>1705.37</u>	<ul> <li>PE or RA; and</li> <li>1 year relevant experience</li> </ul>	Anchors in Concrete: • ACI Certification as Concrete Construction Special Inspector (ACI- CCSI) OR • ICC Certification as Concrete Special Inspector (ICC- CSI)	Anchors in Concrete: ACI Certification as an Associate Concrete Construction Special Inspector (ACI-ACCSI) [OR] Note: ACI-ACCSI only permitted to perform inspection under on-site supervision by PE, RA, ACI- CCSI, or ICC- CSI	
			<ul> <li>Anchors in Masonry:</li> <li>Bachelor's degree in engineering or architecture; and</li> <li>ICC Certification as a Structural Masonry Special Inspector; and</li> <li>1 year relevant experience</li> </ul>	Anchors in Masonry: ICC Certification as a Structural Masonry Special Inspector; and 2 years relevant experience	

		Qualifications <sup>1,2</sup>		
Special Inspection Category	[2014] <u>2022</u> Code Section	Primary Inspector or Inspection Supervisor	Supplemental Inspector (Alternative 1) - under direct supervision of Inspection Supervisor	Supplemental Inspector (Alternative 2) - under direct supervision of Inspection Supervisor
<u>Class 3 Special</u> Inspections (Small Projects)		<ul> <li><u>PE or RA; and</u></li> <li><u>relevant</u> <u>experience</u></li> </ul>	<u>See</u> <u>Technician</u> <u>requirements</u> <u>for relevant</u> inspection	<u>See</u> <u>Technician</u> <u>requirements</u> <u>for relevant</u> inspection.

Notes:

\* \* \*

- [3. Class 3 Special Inspections. An agency registered as a Class 3 special inspection agency for a special inspection category will be permitted to perform the work associated with such special inspection category on the following projects only:
  - a. The construction, demolition, or alteration of a one-, two-, or three-family dwelling; or

b. The alteration of any building, including partial demolition, altering less than ten thousand (10,000) square feet of gross floor area in total.

Exception: The special inspection categories of underpinning, mechanical means and methods of demolition, and the protection of the sides of excavations greater than ten (10) feet in depth will be performed only by special inspection agencies registered as either class 1 or class 2 special inspection agencies for such categories. ]