

**CITY OF NEW YORK  
DEPARTMENT OF HOUSING PRESERVATION AND DEVELOPMENT**

**Notice of Adoption of Amendments to Rules  
Regarding Childhood Lead Poisoning Prevention and Control**

**Notice is hereby given** that pursuant to the authority vested in the Commissioner of the Department of Housing Preservation and Development ("HPD") by sections 1043 and 1802 of the New York City Charter, and Local Law 29 of 2020, Local Law 28 of 2020, and section 27-2090 of the Administrative Code of the City of New York, HPD is adopting amendments to rules regarding childhood lead poisoning prevention and control. A notice of proposed rulemaking was published in the City Record on November 20, 2020. A virtual public hearing was held on December 29, 2020.

**Statement of Basis and Purpose of Amendments to Rules**

The adopted amendments to Chapter 11 of Title 28 of the Rules of the City of New York revise and clarify certain rules promulgated under the New York City Childhood Lead Poisoning Prevention Act of 2003 (Lead Law). The amendments clarify that all of the provisions of the Lead Law will also apply to private dwellings where there is a tenant in occupancy, pursuant to Local Law 29 of 2020. The adopted amendments add criteria implementing Local Law 28 of 2020 regarding violations of the requirements for turnover of dwelling units, and additionally make technical amendments to the rules.

New material is underlined.

[Deleted material is bracketed]

Section 1. Section 11-01 of chapter 11 of title 28 of the rules of the city of New York is amended by adding a new paragraph (a-1) to read as follows:

(a-1) Effective February 10, 2021, for the purposes of this chapter, the term "multiple dwelling" includes a private dwelling where at least one dwelling unit within such dwelling is occupied by persons other than the owner of such dwelling or a member of such owner's family, provided, however, that the provisions of this chapter, shall not apply to a dwelling unit that is occupied by such owner or a member of such owner's family, except otherwise provided by law.

§ 2. Paragraph (1) of subdivision c of section 11-04 of chapter 11 of title 28 of the rules of the city of New York is amended to read as follows:

(1) An owner shall maintain [or] and transfer to a subsequent owner records of inspections of dwelling units performed pursuant to subdivisions [a and b] (a) and (b) of this section. Such records shall include the location of such inspection [and], the results of such inspection for each surface in each room, as specified in subdivisions (a) and (b) of this section, and, for subdivision (b) of this section, an affidavit from the lead inspector or risk assessor who performed the investigation for the presence of lead-based paint. An owner shall also keep a record of the actions taken as a result of an inspection performed under [subdivision a or b] subdivisions (a) or (b) of this section pursuant to 28 RCNY §§11-02 or 11-05.

§ 3. Section 11-05 of chapter 11 of title 28 of the rules of the city of New York is amended by adding a new subdivision e to read as follows:

(e) An owner may submit evidence regarding the date of a current tenant's signing of a lease, or agreement to lease, or commencement of occupancy of a unit to the department to rebut the issuance of a violation for failure to comply with § 27-2056.8 of article 14 of the housing maintenance code and this section.

§ 4. Subparagraph (i) of paragraph (1) of subdivision e of section 11-12 of chapter 11 of title 28 of the rules of the city of New York is amended to read as follows:

(i) An affidavit of Delivery/Email/Mail from [an owner's delivery, mailing or email service company or] the owner providing the following information: Complete [service's or] owner's name, address, contact information, and date of the affidavit; name of the [service's or] owner's representative who performed the delivery/mailing/emailing on behalf of the owner of the annual notice required to be provided by 28 RCNY § 11-03 (b); and a sample copy of such annual notice in English and Spanish that was delivered/mailed/ emailed to occupants of the owner's building;

§ 5. Subparagraph (A) of paragraph (2) of subdivision h of section 11-12 of chapter 11 of title 28 of the rules of the city of New York is amended to read as follows:

(A) Where no work was necessary to comply with the requirements for turnover of any dwelling unit: An affidavit stating that the dwelling unit: (i) has a lead free exemption from HPD; (ii) has no painted friction surfaces and the floor, window sills and window wells are smooth and cleanable such that no turnover work or clearance activity was required; or (iii) was XRF tested by a EPA-certified risk assessor or lead inspector and no painted surfaces tested positive for lead-based paint, with a copy of the results of the XRF test and an affidavit from the risk assessor or lead inspector; or

§ 6. Appendix B of chapter 11 of title 28 of the rules of the city of New York is amended to read as follows:

## **APPENDIX B: ANNUAL NOTICE FOR PREVENTION OF LEAD BASED PAINT HAZARDS – INQUIRY REGARDING CHILD**

You are required by law to inform the owner if a child under six years of age resides or will reside in your dwelling unit (apartment). Beginning on January 1, 2020, the term "resides" means that a child under six routinely spends 10 or more hours per week in the dwelling unit. If such a child resides or will reside in the unit, the owner of the building is required to perform an annual visual inspection of the unit to determine the presence of lead-based paint hazards. **IT IS IMPORTANT THAT YOU RETURN THIS FORM TO THE OWNER OR MANAGING AGENT OF YOUR BUILDING TO PROTECT THE HEALTH OF YOUR CHILD.** If you do not respond to this notice, the owner is required to attempt to inspect your apartment to determine if a child under six years of age resides there.

If a child under six years of age does not reside in the unit now, but does come to reside in it at any time during the year, you must inform the owner in writing immediately. If a child under six years of age resides in the unit you should also inform the owner immediately if you notice any

peeling paint or deteriorated surfaces in the unit during the year. You may request that the owner provide you with a copy of any records required to be kept as a result of a visual inspection of your unit.

Please complete this form and return one copy to the owner or his or her agent or representative by [March 1st] February 15th. Keep one copy of this form for your records.

CHECK ONE:  A child under six years of age resides in the unit  
 A child under [seven] six years of age does not reside in the unit

\_\_\_\_\_  
(Occupant signature)

Print occupant's name, address and apartment number:

\_\_\_\_\_

RETURN THIS FORM TO:

Owner representative name: \_\_\_\_\_

Address: \_\_\_\_\_

OCCUPANT: KEEP ONE COPY FOR YOUR RECORDS  
OWNER COPY/OCCUPANT COPY

### **APENDICE B: AVISO AÑUAL PARA MEDIDAS DE PRECAUCION CON LOS PELIGROS DE PLOMO EN LA PINTURA – ENCUESTA RESPECTO AL NIÑO**

Usted esta requerido por ley informarle al dueño si un niño menor de seis años de edad está viviendo o vivirá con usted en su unidad de vivienda (apartamento). A partir del 1 de enero de 2020, el término “residir” significa que un niño menor de seis años pasa 10 horas o más por semana en la unidad de vivienda. Si tal niño empieza a residir en la unidad, el dueño del edificio esta requerido hacer una inspección visual anualmente de la unidad para determinar la presencia peligrosa de plomo en la pintura. **POR ESO ES IMPORTANTE QUE USTED LE DEVEUELVA ESTE AVISO AL DUEÑO O AGENTE AUTORIZADO DEL EDIFICIO PARA PROTEGER LA SALUD DE SU NIÑO.** Si usted no informa al dueño, el dueño esta requerido inspeccionar su apartamento para descubrir si un niño menor de seis años de edad está viviendo en el apartamento.

Si un niño menor de seis años de edad no vive en la unidad ahora, pero viene a vivir en cualquier tiempo durante el año, usted debe de informarle al dueño por escrito inmediatamente. Usted también debe de informarle al dueño por escrito si el niño menor de seis años de edad vive en la unidad y si usted observa que durante el año la pintura se deteriora o está por pelarse sobre la superficie de la unidad, usted tiene que informarle al dueño inmediatamente. Usted puede solicitar que el dueño le dé una copia de los archivos de la inspección visual hecha en su unidad.

Por favor de llenar este formulario y devolver una copia al dueño del edificio o al agente o representante antes [de Marzo 1] del 15 de Febrero. Mantenga una copia de este formulario para su información.

MARQUE UNO:  Vive un niño menor de seis años de edad en la unidad.  
 No vive un niño menor de seis años de edad en la unidad.

\_\_\_\_\_  
(Firma del inquilino)

Nombre del inquilino, Dirección, Apartamento: \_\_\_\_\_

DEVUELVA ESTE FORMULARIO A:

Nombre del representante del propietario: \_\_\_\_\_

Dirección: \_\_\_\_\_

INQUILINO: MANTENGA UNA COPIA PARA SU INFORMACION

COPIA DEL DUEÑO/COPIA DEL INQUILINO

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