

NEW YORK CITY DEPARTMENT OF BUILDINGS

NOTICE OF ADOPTION OF RULE

NOTICE IS HEREBY GIVEN, pursuant to the authority vested in the Commissioner of the Department of Buildings by Section 643 of the New York City Charter and in accordance with Section 1043 of the Charter, that the Department of Buildings hereby adopts the amendments to Section 102-01 to Subchapter B of Chapter 100 of Title 1 of the Official Compilation of the Rules of the City of New York, regarding Violation Classification and Certification of Correction.

This rule was first published on February 27, 2017 and a public hearing thereon was held on March 29, 2017.

Dated: 3.31.17

New York, New York



Rick D. Chandler, P.E.

Commissioner

Statement of Basis and Purpose

The rule:

- relocates the Department of Buildings (DOB) Penalty Schedule from the Office of Administrative Trials and Hearings Environmental Control Board (OATH ECB) rules in Chapter 3 of Title 48 of the Rules of the City of New York to DOB's rules in Chapter 100 of Title 1 of the Rules of the City of New York;
- updates the schedule as needed to reflect recently adopted rules;
- provides clearer and more concise language; and
- reduces default penalties upon proof of compliance with applicable law.

Although OATH ECB is empowered to impose penalties under the New York City Charter and has until now promulgated penalty schedules, the enforcement agencies have the expertise to recommend appropriate penalties based on the severity of each violation and its effect on City residents. Moving the penalty schedule will also make it easier for the public to find the penalties, which will be located within the same chapter as the rules supporting the violations alleged in the summonses. Finally, the rule relocation will speed up the rulemaking process by eliminating the need for OATH ECB approval of proposed or amended penalties for agency rules that have already gone through the City Administrative Procedure Act (CAPA) rulemaking process. The public will still have the opportunity to comment on proposed penalties during this process.

The schedule has also been updated to add new penalties amounts for violations of the Energy Conservation Code ("ECC"), which can be found in Chapter 10 of Title 28 of the New York City Administrative Code. The penalty amounts range from \$300 to \$10,000.

Working with the City's rulemaking agencies, the Law Department, OMB, and the Office of Operations conducted a retrospective rules review of the City's existing rules, identifying those rules that will be repealed or modified to reduce regulatory burdens, increase equity, support small businesses, and simplify and update content to help support public understanding and compliance. This rule meets the criteria for this initiative.

DOB's authority for these rules is found in Sections 643 and 1043(a) of the New York City Charter.

New material is underlined.

[Deleted material is in brackets.]

"Shall" and "must" denote mandatory requirements and may be used interchangeably in the rules of this department, unless otherwise specified or unless the context clearly indicates otherwise.

Section 1. Subdivision (i) of section 102-01 of Title 1 of the Rules of the City of New York is amended, subdivision (j) of section 102-01 of Title 1 of the Rules of the City of New York is re-lettered as subdivision (k) and is amended, and a new subdivision (j) is added to section 102-01 of Title 1 of the Rules of the City of New York, to read as follows:

(i) Legal References. The legal references referred to in the table below [that reflects], which reflect the classification of violations and the Penalty Schedule, include the following:

- (1) Title 28 of the New York City (NYC) Administrative Code. References to Title 28 of the NYC Administrative Code begin with “28-[]” (for example, “28-201.1”). The citation “28-Misc.” refers to provisions of Title 28 that are not specifically designated elsewhere in the table.
- (2) Chapter 1 of Title 27 of the NYC Administrative Code (also known as the “1968 Building Code”) and Chapter 3 of the same (also known as the “Electrical Code”). References to these chapters of [title] Title 27 of the NYC Administrative Code begin with “27-[]” (for example, “27-371”). The citation “27-Misc.” refers to provisions of Title 27 that are not specifically designated elsewhere in the table.
- (3) The “New York City Construction Codes,” which consist of:
 - The New York City [plumbing code] Plumbing Code (PC)
 - The New York City [building code] Building Code (BC)
 - The New York City [mechanical code] Mechanical Code (MC)
 - The New York City [fuel gas code] Fuel Gas Code (FGC).
 - The New York City Energy Conservation Code (ECC).

References to these New York City Construction Codes are designated by the various abbreviations set out above (for example, “BC3010.1”). The citations “BC-Misc.,” “PC-Misc.,” “MC-Misc.,” [and] “FGC-Misc.,” “ECC-R Misc.” and “ECC-C Misc.” refer to provisions of the New York City [building] Building, [plumbing] Plumbing, [mechanical] Mechanical, [or fuel gas] Fuel Gas, or Energy Conservation codes that are not specifically designated elsewhere in the table.

(j) Reduction of default penalties upon proof of compliance. With the exception of daily penalties charged under section 28-202.1 of the Administrative Code for continued Class 1 violations of sections 28-210.1 or 28-210.3 of the Administrative Code, any imposed Default Penalty, Aggravated I Default Penalty or Aggravated II Default Penalty will be reduced by one-half (1/2) after:

- (1) Respondent files an acceptable certificate of correction with the Department; and
- (2) ECB receives notification from the Department of its acceptance of such certificate of correction.

(k) Classification of particular violations. The table below sets forth the penalties imposed in connection with Summonses, as defined in section 6-01 of Title 48 of the Rules of the City of New York, and/or Notices of Violation returnable to ECB that are issued on or after July 1, 2008. Particular violations shall be classified as indicated in the following table:

<u>Section of Law</u>	<u>Classification</u>	<u>Violation Description</u>	<u>Cure</u>	<u>Stipulation</u>	<u>Standard Penalty</u>	<u>Mitigated Penalty</u>	<u>Default Penalty</u>	<u>Aggravated I Penalty</u>	<u>Aggravated I Default Penalty</u>	<u>Aggravated II Penalty</u>	<u>Aggravated II Default – Max Penalty</u>

1 RCNY-Misc, RS-Misc	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
1 RCNY-Misc, RS-Misc	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
1 RCNY-Misc, RS-Misc	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
1 RCNY 5-02	Class 2	Failure to meet the requirements of licensing/ identification/ qualification as required by 1 RCNY 5-02.	Yes	No	\$800	Yes	\$4,000	2,000	\$8,000	\$4,000	\$10,000
1 RCNY 104-20	Class 1	Licensed Rigger designated an unqualified foreman.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
1 RCNY 104-20	Class 2	Licensed Rigger designated an unqualified foreman.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
1 RCNY 49-03	Class 1	Outdoor Advertising Company failed to comply with Commissioner's sign-related Order.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 101-07	Class 2	Failure of approved agency to comply with requirements of 1 RCNY 101-07.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
1 RCNY 103-04(b)(5)(iii)	Class 2	Removal of public protection from unsafe façade without approval from the department.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
1 RCNY 3301-02 (c)	Class 1	Construction Superintendent failed to perform duties per rule.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
1 RCNY 3301-02 (c)	Class 2	Construction Superintendent failed to perform duties per rule.	No	No	\$5,000	Yes	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
1 RCNY 3301-02(c)(7)	Class 1	Construction Superintendent failed to immediately notify the department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
27-228.5	Class 2	Failure to file an Architect/Engineer report certifying that exit/directional signs are connected to emergency power source/storage battery	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000

		equipment.									
27-369, BC 1020.2 (2008 code), & BC 1023.2 (2014 code)	Class 1	Failure to provide unobstructed exit passageway.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
27-371, BC 715.3.7 (2008 code) & BC 715.4.8 (2014 code)	Class 2	Exit door not self-closing.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-382 & BC 1006.3	Class 2	Failure to provide power for emergency exit lighting.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-383(b), BC 403.16 (2008 code) & BC 403.5.5 (2014 code)	Class 1	Failure to install luminous egress or photoluminescent exit path marking in a high-rise building.	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
27-391 & BC 3002.3	Class 2	Emergency signs at elevator call stations missing, defective or non-compliant with section requirements.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-393, BC 1019.1.7 (2008 code) & BC 1022.8 (2014 code)	Class 2	Stair and/or floor identification signs missing and/or defective.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-509, BC 3111.1 (2008 code) & BC 3112.1 (2014 code)	Class 3	Fence exceeds permitted height.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
27-528, BC 1024.1.3 (2008 code) & BC 1028.1.3 (2014 code)	Class 2	Approved Place of Assembly plans not available for inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-901(z)(1) & PC 301.6	Class 2	Piping installed in elevator/counterweight hoistway.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

27-904 & FGC 406.6.2	Class 1	Gas being supplied to building without inspection and certification by DOB.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
27-904 & FGC 406.6.2	Class 2	Gas being supplied to building without inspection and certification by DOB.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 1	Failure to have new or altered plumbing system tested.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
27-921(a), PC 107.3 (2008 code) & PC 107.4 (2014 code)	Class 2	Failure to have new or altered plumbing system tested.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-972(h), BC 907.2.12.3 (2008 code) & BC 907.2.13.3 (2014 code)	Class 2	Failure to install an acceptable two-way voice communication system with central station connection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
27-3017	Class 1	Performed unlicensed electrical work.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
27-3018(b)	Class 1	Electrical work without a permit.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
27-3018(b)	Class 2	Electrical work without a permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
27-3018(b)	Class 3	Electrical work without a permit.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(b)	Class 3	Failure to conspicuously post electrical work permit while work is in progress.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(b)	Class 1	Electrical work does not conform to approved submittal documents/amendments.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
27-3018(b)	Class 2	Electrical work does not conform to approved submittal documents/amendments.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

27-3018(b)	Class 3	Electrical work does not conform to approved submittal documents/amendments.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
27-3018(i)	Class 2	Installed more than the authorized number of electric meters.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
27-Misc, 28-Misc, BC -Misc	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
27-Misc, 28-Misc, BC -Misc	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
28-104.2.2	Class 2	Failure to provide approved/accepted construction documents at job site at time of inspection.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.1	Class 2	[Failed] Failure to obtain a temporary construction permit prior to installation/use of sidewalk shed.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.1	Class 1	Work without a permit.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
28-105.1	Class 1	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
28-105.1	Class 2	Work After Hours Without a Variance Permit contrary to 28-105.12.5.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-105.1	Class 2	Work without a permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-105.1	Class 3	Work without a permit.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
28-105.1	Class 1	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-105.1	Class 2	Construction or alteration work w/o a permit in manufacturing district for residential use.	No	No	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500	\$10,000

28-105.1	Class 1	Demolition work without required demolition permit.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-105.1	Class 1	Plumbing work without a permit in manufacturing district for residential use.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-105.1	Class 2	Plumbing work without a permit in manufacturing district for residential use.	No	Yes	\$1,500	Yes	\$7,500	\$3,750	\$10,000	\$7,500	\$10,000
28-105.1	Class 2	Outdoor sign on display structure without a permit.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
28-105.1	Class 1	Outdoor Ad Co sign on display structure without a permit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-105.11	Class 2	Failure to post or properly post permit for work at premises.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-105.12.1	Class 2	Outdoor sign permit application contrary to Code and ZR requirements.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
28-105.12.2	Class 1	Work does not conform to approved construction documents and/or approved amendments.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
[28-105.12.2]	[Class 1]	[Outdoor Ad Co sign, is contrary compliance with construction documents.]									
28-105.12.2	Class 2	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-105.12.2	Class 3	Work does not conform to approved construction documents and/or approved amendments.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
28-105.12.2	Class 1	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000

28-105.12.2	Class 2	Work does not conform to approved construction documents and/or approved amendments in a manufacturing district for residential use.	<u>No</u>	<u>No</u>	<u>\$2,400</u>	<u>Yes</u>	<u>\$10,000</u>	<u>\$6,000</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
28-105.12.2	Class 1	Place of Assembly contrary to approved construction documents.	<u>No</u>	<u>No</u>	<u>\$1,000</u>	<u>No</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$25,000</u>
28-105.12.2	Class 2	Place of Assembly contrary to approved construction documents.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
28-105.12.2	Class 1	Outdoor Ad Co sign <u>work does not conform to approved construction documents or amendments.</u>	<u>No</u>	<u>No</u>	<u>\$10,000</u>	<u>Yes</u>	<u>\$25,000</u>	<u>\$25,000</u>	<u>\$25,000</u>	<u>\$25,000</u>	<u>\$25,000</u>
28-110.1(20)	Class 1	Failure to provide evidence of workers attending construction & safety course.	<u>No</u>	<u>No</u>	<u>\$1,200</u>	<u>No</u>	<u>\$6,000</u>	<u>\$3,000</u>	<u>\$12,000</u>	<u>\$6,000</u>	<u>\$25,000</u>
[BC 3310.10]	[Class 1]	[Failure to conduct a site-specific safety orientation program for all workers.]									
28-116.1	Class 2	Failure of permit holder to provide inspection access to and/or expose ongoing construction or work on an active and permitted worksite.	<u>No</u>	<u>No</u>	<u>\$2,000</u>	<u>Yes</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
28-116.2.4.2	Class 2	Failure to conduct or file a final inspection of permitted work with the Department.	<u>Yes</u>	<u>Yes</u>	<u>800</u>	<u>Yes</u>	<u>\$4,000</u>	<u>\$2,000</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$10,000</u>
28-116.4.1	Class 2	Operation of service equipment without Certificate of Compliance.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
28-117.1	Class 1	Operation of a Place of Assembly without a current Certificate of Operation.	<u>No</u>	<u>No</u>	<u>\$1,600</u>	<u>No</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$16,000</u>	<u>\$8,000</u>	<u>\$25,000</u>
28-117.1	Class 2	Operation of a Place of Assembly without a current Certificate of Operation.	<u>Yes</u>	<u>No</u>	<u>\$800</u>	<u>Yes</u>	<u>\$4,000</u>	<u>\$2,000</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$10,000</u>

28-118.1	Class 1	Building or open lot occupied without a valid certificate of occupancy.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-118.3	Class 1	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-118.3	Class 2	Altered/changed building occupied without a valid Certificate of Occupancy as per §28-118.3.1 - §28-118.3.2.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-118.3	Class 1	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-118.3	Class 2	Change in occupancy/use of C of O as per §28-118.3.1 - §28-118.3.2 by operating a Place of Assembly as per when current C of O does not allow such occupancy.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-118.3.2	Class 1	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-118.3.2	Class 2	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
28-118.3.2	Class 3	Occupancy contrary to that allowed by the Certificate of Occupancy or Buildings Department records.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
28-118.3.2.1	Class 3	Address, block and/or lot, or metes and bounds of zoning lot contrary to Certificate of Occupancy.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500

28-201.1	Class 1	Unlawful acts. Failure to comply with [commissioner's] Commissioner's order.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-201.1; 28-207.4	Class 1	Failure to obey a Vacate Order from the Commissioner per 28-207.4.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-201.1	Class 1	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-201.1	Class 2	Unlawful acts. Failure to comply with a law, rule, or Commissioner's order involving construction and/or equipment safety operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.1 or 28-210-2.	No	No	1,000/day	No	\$45,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.1.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 1	Additional daily civil penalties for continued violations.	No	No	\$1,000/day	No	\$25,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly civil penalties for continued violations.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 2	Additional monthly penalty for continued violation of 28-210.2.	No	No	\$250/month	No	\$10,000	NA	NA	NA	NA
28-202.1	Class 1	Additional daily penalty for Class 1 violation of 28-210.3 – permanent dwelling offered/used/converted for other than permanent residential purposes.	No	No	\$1,000/per day	No	\$45,000	N/A	N/A	N/A	N/A
28-204.4	Class 2	Failure to comply with the Commissioner's order to file a certificate of correction with the Department of Buildings.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000

28-207.2.2	Class 1	Unlawfully continued work while on notice of a [stop work order] <u>Stop Work Order.</u>	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-207.2.5	Class 1	Tampered with, removed, or defaced a written posted Stop Work Order.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
[28-201.1]	[Class 1]	[Failure to obey a Vacate Order by the Commissioner per 28-207.4.]									
28-207.4.4	Class 1	Removed or defaced a written posted Vacate Order.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-210.1	Class 1	1- or 2-family residence converted to or maintained as a dwelling for 4 or more families.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-210.1	Class 1	Multiple dwelling converted, maintained, or occupied with 3 or more <u>additional</u> dwelling units than legally authorized by the C of O or official records.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-210.1	Class 2	Residence altered as a dwelling for more than the number of families legally authorized by the C of O or official records.	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
28-210.2	Class 1	Industrial/manufacturing building converted, maintained, or occupied for residential use for 3 or more <u>additional</u> dwelling units than legally authorized by the C of O or official records.	No	No	\$3,200	No	\$16,000	\$8,000	\$25,000	\$16,000	\$25,000
28-210.2	Class 2	Industrial/manufacturing building converted, maintained, or occupied for residential use contrary to the C of O or official records.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
28-210.3	Class 1	Permanent dwelling offered/used/converted for other than permanent residential purposes.	No	No	\$3,200	No	\$16,000	\$8,000	\$25,000	\$16,000	\$25,000

28-210.3	Class 2	Permanent dwelling offered/used/converted for other than permanent residential purposes.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$8,000	\$10,000
28-211.1	Class 1	Filed a certificate, form, application etc., containing a material false statement(s).	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-211.1	Class 1	Filed a certificate of correction or other related materials containing material false statement(s).	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-217.1[2].1	Class 2	Failure to submit required report of inspection of potentially compromised building.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-217.16	Class 1	Failure to immediately notify Department that building or structure has become potentially compromised.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
28-301.1	Class 1	Failure to maintain building in code compliant manner. Lack of required number of means of egress for every floor per BC 1018.1 (2008 code); 27-366; BC 1021.1 (2014 code).	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code compliant manner[.]. Exhaust discharge <u>must be no</u> closer than 10 feet from building openings [per RS 13(2-2.1.4); MC 401.5.2] <u>as per MC 501.2 and RS 13-1 Sec. 2-2.1.4.</u>	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-301.1	Class 1	<u>Failure to maintain building in code-compliant manner.</u>	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	<u>Failure to maintain building in code-compliant manner.</u>	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 3	Failure to maintain building in code-compliant manner.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500

28-301.1	Class 1	Failure to maintain building in code-compliant manner: service equipment – boiler.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 3	Failure to maintain building in code-compliant manner: service equipment – boiler.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Use of prohibited door and/or hardware per BC 1008.1.8; 27-371(j).	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: illumination for exits, exit discharges and public corridors per BC 1006.1; 27-381.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392; BC 1022.8 (2014 code).	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: floor numbering signs missing and/or defective per BC 1019.1.7 (2008 code); 27-392;	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

		BC 1022.8 (2014 code).									
28-301.1	Class 1	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: high-rise to provide exit sign requirement(s) within exits per BC 1011.1.1; 27-383.1.	Yes	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency power or storage battery connection to exit signs per BC 1011.5.3; 27-384[](c).	No	No	\$4,800	Yes	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of emergency lighting for exits, exit discharges and public corridors per BC 1006.1; 27-542.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to provide non-combustible proscenium curtain or stage water curtain per BC 410.3.5; 27-546.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000

28-301.1	Class 2	Failure to maintain building in code-compliant manner: no fire stopping per BC 712.3; 27-345.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Improper exit/exit access doorway arrangement per BC 1014.2 (2008 code); 27-361; BC 1015.2 (2014 code).	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
[28-301.1]	[Class 1]	[Failure to maintain building in code-compliant manner: service equipment – boiler.]									
[28-301.1]	[Class 2]	[Failure to maintain building in code-compliant manner: service equipment – boiler.]									
[28-301.1]	[Class 3]	[Failure to maintain building in code-compliant manner: service equipment – boiler.]									
28-301.1	Class 1	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: lack of a system of automatic sprinklers where required per BC 903.2; 27-954.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner re: installation/maintenance of plumbing materials/ equipment per PC102.3; 27-902.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Gas vent reduced or undersized as per FGC 504.2; 27-887.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for water supply system per PC 602.3;	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

		27-908(c).									
28-301.1	Class 2	Failure to maintain building in code-compliant manner: failure to comply with law for drainage system per PC 702.1; 27-911.	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 2	Failure to maintain building in code-compliant manner: Plumbing fixture(s) not trapped and/or vented per PC 916.1 & PC 1002.1; 27-901(o).	No	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-301.1	Class 1	Failure to maintain building in code-compliant manner: Misc sign violation by Outdoor Ad Co as per 27-498 through 27-508 & BC H103.1.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-301.1	Class 2	Failure to maintain sign in accordance w Tit.27; Tit.28; ZR; RCNY.	No	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-302.1	Class 1	Failure to maintain building wall(s) or appurtenances.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
28-302.1	Class 2	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
28-302.1	Class 3	Failure to maintain building wall(s) or appurtenances.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
28-302.3	Class 1	Failure of registered design professional to immediately notify the department of unsafe façade condition(s).	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
28-302.4	Class 2	Failure to submit a required report of critical examination documenting condition of exterior wall and appurtenances.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-302.5	Class 1	Failure to take required measures to secure public safety – unsafe façade.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000

28-302.5	Class 2	Failure to file an amended report acceptable to this Department indicating correction of unsafe conditions.	<u>Yes</u>	<u>No</u>	<u>\$800</u>	<u>Yes</u>	<u>\$4,000</u>	<u>\$2,000</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$10,000</u>
28-303.7	Class 2	Failure to file a complete boiler inspection report.	<u>No</u>	<u>No</u>	<u>\$500</u>	<u>No</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
28-304.1	Class 1	Failure to maintain elevator or conveying system.	<u>No</u>	<u>No</u>	<u>\$1,000</u>	<u>No</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$25,000</u>
28-304.1	Class 2	Failure to maintain elevator or conveying system.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
28-304.1	Class 3	Failure to maintain elevator or conveying system.	<u>Yes</u>	<u>Yes</u>	<u>\$200</u>	<u>Yes</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
28-304.6	Class 1	Failure to inspect or test elevator or conveying system.	<u>No</u>	<u>No</u>	<u>\$1,000</u>	<u>No</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$25,000</u>
28-304.6	Class 2	Failure to inspect or test elevator or conveying system.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
28-304.10	Class 2	Failure to provide notice of elevator to be out of service for alteration work.	<u>No</u>	<u>No</u>	<u>\$2,000</u>	<u>No</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
28-305.4.4	Class 2	Failure to submit required report of condition assessment of retaining wall.	<u>Yes</u>	<u>Yes</u>	<u>\$800</u>	<u>Yes</u>	<u>\$4,000</u>	<u>\$2,000</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$10,000</u>
28-305.4.6	Class 1	Failure to immediately notify Department of unsafe condition observed during condition assessment of retaining wall.	<u>No</u>	<u>No</u>	<u>\$1,200</u>	<u>No</u>	<u>\$6,000</u>	<u>\$3,000</u>	<u>\$12,000</u>	<u>\$6,000</u>	<u>\$25,000</u>
28-305.4.7.3	Class 2	Failure to file an amended condition assessment acceptable to Department indicating correction of unsafe conditions.	<u>Yes</u>	<u>Yes</u>	<u>\$800</u>	<u>Yes</u>	<u>\$4,000</u>	<u>\$2,000</u>	<u>\$8,000</u>	<u>\$4,000</u>	<u>\$10,000</u>

[28-308.4]	[Class 2]	[Failure to file an energy efficiency report in accordance with section 28-308.4 or 28-308.7]									
28-317.3	Class 2	Failure to register cooling tower prior to operation.	No	No	\$2,000	Yes	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
28-317.3.1	Class 2	Failure to notify of discontinued use or removal of cooling tower.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$10,000	\$4,000	\$10,000
28-317.5	Class 2	Failure to file an annual certification of cooling tower inspection/ testing/ cleaning/ disinfecting/ maintenance plan per Adm. Code §17-194.1.	No	No	\$2,000	Yes	\$10,000	\$5,000	\$10,000	\$10,000	\$10,000
Misc. Chapter 4 of Title 28 – Unlicensed Activity	Class 1	Illegally engaging in any business or occupation without a required license or other authorization.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
[28-401.16]	[Class 2]	[Held self out as licensed, certified, registered etc., to perform work requiring a DOB license w/o obtaining such license.]									
28-401.20 & 1 RCNY 3301-02(g)	Class 1	Licensee/registrant failed to fully and completely cooperate as per section.	No	No	\$5,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
28-401.9	Class 1	Failure to file evidence of liability &/or property damage insurance.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
28-401.9	Class 1	Failure to file evidence of compliance with Workers Comp, law and/or disability benefits law.	No	No	\$1,250	No	\$6,250	\$3,125	\$12,500	\$6,250	\$25,000
28-401.19	Class 1	Improper conduct of a licensee or Certificate of Competence Holder	No	No	\$4,000	No	\$20,000	\$10,000	\$25,000	\$20,000	\$25,000
28-401.19	Class 2	Improper conduct of a licensee or Certificate of Competence Holder	No	No	\$2,000	No	\$4,500	\$10,000	\$10,000	\$10,000	\$10,000

28-404.1	Class 1	Supervision or use of rigging equipment without a Rigger's license.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-404.4.1	Class 2	Licensed Master/Special Rigger failed to place appropriate "Danger" sign while using rigging equipment.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-405.1	Class 1	Supervision or use of power-operated hoisting machine without a Hoisting Machine Operator's license.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
28-406.1	Class 1	Unlicensed concrete testing activity.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$25,000	\$10,000	\$25,000
28-408.1	Class 1	Performing unlicensed plumbing work without a master plumber license.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
28-415.1	Class 1	Hoisting, lowering, hanging, or attaching of outdoor sign not performed or supervised by a properly licensed sign hanger.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
28-502.2	Class 1	Outdoor Advertising Company engaged in outdoor advertising business without a valid registration.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.1	Class 1	Outdoor Advertising Company failed to submit complete/accurate information as prescribed in 1 RCNY Chapter 49.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.2.2	Class 1	Outdoor Advertising Company failed to post, renew, or replenish bond or other form of security.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.5	Class 1	Outdoor Advertising Company failed to post required information at sign location.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
28-502.6	Class 1	Misc sign [viol'n]violation by [outdoor ad co]Outdoor Advertising Company of [Tit.] Title 27; [Tit.]Title 28; ZR; or BC	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

28-504.3	Class 2	Failure to complete/implement/amend bicycle access plan or provide request for exception.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-504.3(2)	Class 2	Failure to implement the terms and conditions of bicycle access plan/letter of exception as prescribed in 34 RCNY 2-19.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-504.6	Class 2	Failure to post a bicycle access plan/letter of exception/notice of availability of plan/letter.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
28-504.7	Class 2	Failure to timely file bicycle access plan or amendment with DOT as prescribed in 34 RCNY 2-19.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 105.8.2	Class 2	Temporary Construction Equipment on Site – Expired Permit.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 903.6	Class 2	Failure to paint dedicated sprinkler piping/valves in accordance with section.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$8,000	\$10,000
BC 903.6	Class 2	Failure to provide/maintain painting certification of sprinkler and combination sprinkler/standpipe systems in accordance with section.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
BC 905.11	Class 2	Failure to paint dedicated standpipe/ valves in accordance with section.	No	No	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$8,000	\$10,000
BC 905.11	Class 2	Failure to provide/maintain painting certification of standpipe and combination sprinkler/standpipe systems in accordance with section.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
BC 1016.2	Class 2	Failure to maintain building in code-compliant manner: provide required corridor width per BC 1016.2; 27-369.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

BC 1704.4	Class 2	Failure to perform special inspections and verifications for concrete construction as required by section and Table 1704.4.	No	No	\$1,600	No	\$8,000	\$4,000	\$10,000	\$8,000	\$10,000
BC 1704.21.1 (2008 code) & BC 1704.23.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of sprinkler system.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 1704.22.1 (2008 code) & BC 1704.24.1 (2014 code)	Class 1	Failure to perform successful hydrostatic pressure test of standpipe system.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 1905.6.3.2 (2008 code) & BC 1905.6.3.3 (2014 code)	Class 2	Failure to comply with ASTM C31 standards for concrete cylinder test samples.	No	No	\$1,600	No	\$8,000	\$4,000	\$10,000	\$8,000	\$10,000
BC 3010.1 & 27-1006	Class 1	Failure to promptly report an elevator accident involving personal injury requiring the services of a physician or damage to property.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3301.1.3 (2014 code)	Class 1	Failure to comply with manufacturer specifications.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
BC 3301.1.3 (2014 code)	Class 2	Failure to comply with manufacturer specifications.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to safeguard all persons and property affected by construction operations.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 2	Failure to safeguard all persons and property affected by construction operations.	No	No	\$5,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No guard rails.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000

		toe boards.									
BC 3301.2 & 27-1009(a)	Class 1	Failure to institute/maintain safety equipment measures or temporary construction – No handrails.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3301.3 & 1RCNY 3301-02 (b), (c)	Class 1	Failure to designate and /or have a Construction Superintendent present at site as required.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3301.7 (2014 code)	Class 1	Failure to maintain/display on site documents required by BC Chapter 33.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3301.7 (2014 code)	Class 2	Failure to maintain/display on site documents required by BC Chapter 33.	Yes	No	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
BC 3301.8	Class 1	Failure to promptly notify the Department of an accident or damage to adjoining property at construction/demolition site.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3301.9	Class 2	Project Information Panel/Sidewalk Shed Parapet Panel/Construction Sign not provided or not in compliance with section.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
[BC 3303.3 & 27-1020]	[Class 2]	[Failure to post D.O.T. permit for street/sidewalk closing.]									
BC 3303.4 & 27-1018	Class 1	Failure to maintain adequate housekeeping per section requirements.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3303.4 & 27-1018	Class 2	Failure to maintain adequate housekeeping per section requirements.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3303.4.5 & 27-1018	Class 1	Unsafe storage of materials during construction or demolition.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000

BC 3303.4.6 & 27-1018	Class 1	Unsafe storage of combustible material and equipment.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3303.7.3	Class 1	Smoking at construction/demolition site.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3303.7.3	Class 2	Smoking at construction/demolition site.	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
BC 3303.7.3	Class 2	Failure to post No Smoking signs at construction/demolition sites per Fire Code.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3303.8.1	Class 1	Failure to provide standpipe or air pressurized alarm system for standpipe system during construction or demolition operation.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3303.8.1	Class 1	Failure to conduct proper planned removal from service of standpipe system and/or standpipe air pressurized alarm.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3303.15	Class 2	Failure to perform proper concrete washout water procedures.	No	No	\$1,200	No	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
BC 3304.3 & 1 RCNY 52-01(a)	Class 1	Failure to notify the Department prior to the commencement of earthwork.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3304.3 & 1 RCNY 52-01(b)	Class 2	Failure to notify the Department prior to the cancellation of earthwork .	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
BC 3304.4 & 27-1032	Class 1	Failure to provide protection at sides of excavation.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3304.12 (2014 code)	Class 1	Failure to perform slurry operations in accordance with section.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000

BC 3305.3.1.2.1 (2014 code)	Class 1	Failure to obtain registered design professional evaluation prior to using existing structure to support formwork loads.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3305.3.2 (2014 code)	Class 1	No site-specific formwork design drawings present per 3301.7.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3305.3.3.2 (2014 code)	Class 1	Failure to perform required formwork observation.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3306 & 27-1039	Class 1	Failure to carry out demolition operations as required by section.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3306.2.1	Class 1	Failure to provide safety zone for demolition operations.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3306.3& 27-195	Class 1	Failure to provide required notification prior to the commencement of demolition.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3306.5	Class 1	Mechanical demolition without plans on site.	No	No	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$25,000
BC 3307.1	Class 2	Pedestrian protection does not meet code specifications.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 1	Prohibited Outdoor Advertising Company sign on sidewalk shed or construction fence.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3307.1.1 (2008 code) & BC 3307.4.6 (2014 code)	Class 2	Posting of unlawful signs, information, pictorial representation, business or advertising messages on protective structures.	Yes	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
BC 3307.3 (2008 Code) and BC 3307.1 (2014 Code)	Class 1	Failure to provide pedestrian protection for sidewalks and walkways.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000

BC 3307.3.1 (2008 code), 27-1021(a) & BC 3307.6.2 (2014 code)	Class 1	Failure to provide sidewalk shed where required.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
BC 3307.6.4 (2008 code) & BC 3307.6.4.11 (2014 code)	Class 2	Sidewalk shed does not meet color specification.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3307.7	Class 2	Job site fence not constructed or maintained pursuant to subsection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3309.4 & 27-1031	Class 1	Failure to protect adjoining structures during excavation operations.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
<u>BC 3301.3 & BC 3310.5 & BC 3310.5.2</u>	Class 1	<u>Failure to designate and/or have Site Safety Manager or Site Safety Coordinator present at site as required.</u>	No	No	\$10,000	No	\$25,000	\$12,500	\$25,000	\$25,000	\$25,000
BC 3310.8.2 (2008 code) & BC 3310.8.2.1 (2014 code)	Class 1	Site [safety manager/coordinator] <u>Safety Manager/Coordinator</u> failed to immediately notify the Department of conditions as required.	No	No	\$2,500	No	\$12,500	\$6,250	\$25,000	\$12,500	\$25,000
BC 3310.9.1	Class 1	No [concrete safety manager] <u>Concrete Safety Manager</u> present at site [where at least 2,000 cubic feet of concrete will be poured] as required.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3310.10	Class 1	<u>Failure to conduct a site-specific safety orientation program for all workers.</u>	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3314.1.1 (2008 code), 27-1050.1 & BC 3314.4.1.5 (2014 code)	Class 2	Failed to notify Department prior to installation or removal of Suspended Scaffold.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3314.2 & 27-1042	Class 1	Erected or installed supported scaffold 40 feet or higher without a permit.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000

BC 3314.4.3.1 (2008 code), 27-1045 & BC 3314.4.3 (2014 code)	Class 1	Failure to perform safe/proper inspection of [suspended scaffold] <u>Suspended Scaffold.</u>	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3314.4.3.1 (2008 code), 27-1045(b) & BC 3314.4.3.4 (2014 code)	Class 1	No record of daily inspection of Suspended Scaffold performed by authorized person at site.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3314.4.5 (2008 code & BC 3314.4.5.1 (2014 code)	Class 1	Unqualified supervisor or worker performing work on scaffold.	No	No	\$2,400	No	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
BC 3314.4.6 (2008 code) & BC 3314.4.5.8 (2014 Code)	Class 2	Scaffold training certificate card not readily available for inspection.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3314.6.3 & 27-1009	Class 1	Failure to provide/use lifeline while working on scaffold.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
BC 3314.6.3 & 27-1009	Class 2	Failure to provide/use lifeline while working on scaffold.	No	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
BC 3316.2 & BC 3319.1	Class 1	Inadequate safety measures: Operation of crane/derrick/hoisting equipment in unsafe manner.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
BC 3319.3	Class 1	Operation of a crane/derrick without a Certificate of Operation/ <u>Certificate of Approval.</u>	No	No	\$2,400	No	\$12,000	\$6,000	\$25,000	\$12,000	\$25,000
[BC 3319.3 & 27-1057(b)]	[Class 2]	[Operation of crane/derrick without Certificate of Approval/Certificate of Operation.]									
BC 3319.3 & 27-1057(d)	Class 2	Operation of a crane/derrick without a Certificate of Onsite Inspection.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000

BC 3319.8	Class 1	Failure to provide erection, jumping, climbing, dismantling plan for tower/climber crane.	No	No	\$2,000	No	\$10,000	\$5,000	\$20,000	\$10,000	\$25,000
BC 3319.8.2	Class 1	Failure to conduct a safety coordination meeting.	No	No	\$2,000	No	\$10,000	\$5,000	\$20,000	\$10,000	\$25,000
BC 3319.8.3	Class 1	Failure to conduct a pre-jump safety meeting.	No	No	\$2,000	No	\$10,000	\$5,000	\$20,000	\$10,000	\$25,000
BC 3319.8.4	Class 1	Failure to notify the Department prior to pre-jump or safety coordination meeting.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3319.8.4.2	Class 1	Failure to provide time schedule indicating erection, jumping, climbing, or dismantling of crane.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3319.8.6	Class 1	No meeting log available	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
BC 3319.8.7	Class 1	Failure to file a complete and acceptable tower/climber Installation Report per BC 3319.8.7.	No	No	\$2,000	No	\$10,000	\$5,000	\$20,000	\$10,000	\$25,000
BC 3319.8.8	Class 1	Erection, jumping, climbing, dismantling operations of a tower or climber crane not in accordance with 3319.8.8.	No	No	\$4,000	No	\$20,000	\$10,000	\$25,000	\$20,000	\$25,000
EC-Misc	Class 1	Miscellaneous violation of the Electrical Code Technical Standards.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC-Misc	Class 2	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC-Misc	Class 3	Miscellaneous violation of the Electrical Code Technical Standards.	Yes	Yes	\$400	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.2(A)	Class 1	Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000

EC 110.2(A)	Class 2	Unapproved/unsafe/unsuitable electrical equipment, apparatus, materials, devices, appliances or wiring in use.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC 110.2(B)	Class 2	Constructed electrical installation without required commissioner's approval per section.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 110.2	Class 2	Failure to use approved conductors and/or equipment.	No	No	\$1,000	Yes	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
EC 110.2	Class 3	Failure to use approved conductors and/or equipment.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.12	Class 3	Failure to close unused openings (knockouts) in outlet/panel box.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.14(A)	Class 2	Failure to properly connect conductors to terminals.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 110.25	Class 1	Electrical closet not dedicated to electrical distribution equipment only.	No	No	\$1,200	No	\$6,000	\$3,000	\$12,000	\$6,000	\$25,000
EC 110.25	Class 2	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$600	Yes	\$3,000	\$1,500	\$6,000	\$3,000	\$10,000
EC 110.25	Class 3	Electrical closet not dedicated to electrical distribution equipment only.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 110.26	Class 2	Failure to provide/maintain sufficient access/work space about electrical equipment.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 210.8	Class 2	Failure to install Ground-fault circuit interrupter (GFCI) protection as required.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 210.12(B)	Class 2	Failure to provide Arc-fault circuit interrupter (AFCI) protection in dwelling units.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000

EC 210.52(A)	Class 3	Failure to provide proper spacing between receptacle outlets.	<u>Yes</u>	<u>Yes</u>	<u>\$300</u>	<u>Yes</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
EC 230.72(A)	Class 1	Failure to properly group/label disconnects.	<u>No</u>	<u>No</u>	<u>\$1,000</u>	<u>Yes</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$25,000</u>
EC 230.72(A)	Class 2	Failure to properly group/label disconnects.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
EC 240.3	Class 1	Failure to provide adequate circuit overcurrent protection device per table.	<u>No</u>	<u>No</u>	<u>\$4,800</u>	<u>No</u>	<u>\$24,000</u>	<u>\$12,000</u>	<u>\$25,000</u>	<u>\$24,000</u>	<u>\$25,000</u>
EC 240.3	Class 2	Failure to provide adequate circuit overcurrent protection device per table.	<u>No</u>	<u>No</u>	<u>\$2,400</u>	<u>Yes</u>	<u>\$10,000</u>	<u>\$6,000</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
EC 240.3	Class 3	Failure to provide adequate circuit overcurrent protection device per table.	<u>Yes</u>	<u>Yes</u>	<u>\$500</u>	<u>Yes</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>	<u>\$500</u>
EC 240.4	Class 1	Failure to protect conductor(s) against overcurrent per EC.	<u>No</u>	<u>No</u>	<u>\$4,800</u>	<u>No</u>	<u>\$24,000</u>	<u>\$12,000</u>	<u>\$25,000</u>	<u>\$24,000</u>	<u>\$25,000</u>
EC 240.4	Class 2	Failure to protect conductor(s) against overcurrent per EC.	<u>No</u>	<u>No</u>	<u>\$2,400</u>	<u>Yes</u>	<u>\$10,000</u>	<u>\$6,000</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
EC 240.21	Class 2	Tap conductors not in compliance with section.	<u>No</u>	<u>No</u>	<u>\$1,000</u>	<u>Yes</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$5,000</u>	<u>\$10,000</u>
EC 250.4	Class 1	Failure to ground electrical systems.	<u>No</u>	<u>No</u>	<u>\$4,800</u>	<u>No</u>	<u>\$24,000</u>	<u>\$12,000</u>	<u>\$25,000</u>	<u>\$24,000</u>	<u>\$25,000</u>
EC 250.4	Class 1	Failure to properly bond electrical systems.	<u>No</u>	<u>No</u>	<u>\$3,000</u>	<u>No</u>	<u>\$15,000</u>	<u>\$7,500</u>	<u>\$25,000</u>	<u>\$15,000</u>	<u>\$25,000</u>
EC 250.4	Class 2	Failure to properly bond electrical systems.	<u>No</u>	<u>No</u>	<u>\$1,500</u>	<u>Yes</u>	<u>\$7,500</u>	<u>\$3,750</u>	<u>\$10,000</u>	<u>\$7,500</u>	<u>\$10,000</u>

EC 250.4	Class 3	Failure to properly bond electrical systems.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
EC 250.4	Class 2	Failure to provide adequate grounding of electrical systems.	No	No	\$2,400	Yes	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
EC 250.64	Class 1	Failure to install grounding electrode conductor in accordance with section.	No	No	\$4,800	No	\$24,000	\$12,000	\$25,000	\$24,000	\$25,000
EC 300.10	Class 2	Failure to provide effective electrical continuity for metal raceways/enclosures/cable armor.	No	No	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
EC 300.11	Class 2	Failure to secure/support raceways/cable assemblies/boxes/cabinets/fittings.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
EC 314.23	Class 3	Failure to secure electrical device enclosure per section requirement.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
EC 314.25	Class 2	Failure to provide cover/faceplate/lampholder/luminaire canopy for electrical outlet.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.12	Class 2	Prohibited use of electrical metallic tubing (EMT).	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 358.30	Class 2	Failure to properly secure/support electrical metallic tubing (EMT).	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 408.4	Class 3	Failure to provide required circuit directory/identification.	Yes	Yes	\$200	Yes	\$500	\$500	\$500	\$500	\$500
EC 410.30	Class 2	Luminaires and Lampholders not installed in an approved manner.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000
EC 590.4(G)	Class 2	Improper splicing of temporary wiring.	Yes	Yes	\$500	Yes	\$2,500	\$1,250	\$5,000	\$2,500	\$10,000

EC 590.4(J)	Class 1	Failure to provide proper support for temporary wiring.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
EC 590.4(J)	Class 1	Failure to protect temporary wiring from improper contact per section.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
ECC R402.2	Class 2	<u>Insulation placement and/or R values do not meet minimum requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.2 2011 code; C402.2 2014 and 2016 code	Class 2	<u>Insulation placement and/or R values do not meet minimum requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.3	Class 2	<u>Fenestration U factor and/or SHGC does not meet requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC 502.3 2011 code; C402.3 2014 code; C402.4 2016 code	Class 2	<u>Fenestration U factor and/or SHGC does not meet requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R402.4	Class 2	<u>Air leakage controls were not installed per code requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C502.4 2011 code; C402.4 2014 code; C402.5 2016 code	Class 2	<u>Air leakage controls were not installed per code requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.1	Class 2	<u>Equipment controls not installed per requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC C503.2.4 2011 code; C403.2.4 2014 and 2016 code	Class 2	<u>Equipment controls not installed per requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
ECC R403.2 2011 and 2014 code; R403.3 2016 code	Class 2	<u>Duct insulation and sealing does not meet minimum requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000

<u>ECC C503.2.7</u> 2011 code; <u>C403.2.7</u> 2014 code; <u>C403.2.9</u> 2016 code	Class 2	<u>Duct insulation and sealing</u> does not meet minimum requirements.	Yes	Yes	<u>\$2,000</u>	No	<u>\$10,000</u>	<u>\$4,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>ECC R403.3</u> 2011 and 2014 code; <u>R403.4</u> 2016 Code	Class 2	<u>Mechanical system piping</u> insulation does not meet minimum requirements.	Yes	Yes	<u>\$500</u>	No	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
<u>ECC C503.2.8</u> 2011 code; <u>C403.2.8</u> 2014 code; <u>C403.2.10</u> 2016 code	Class 2	<u>Mechanical system piping</u> insulation does not meet minimum requirements.	Yes	Yes	<u>\$1,000</u>	No	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>ECC R403.4</u> 2011 and 2014 code; <u>R403.5</u> 2016 code	Class 2	<u>Hot water piping insulation</u> does not meet minimum requirements.	Yes	Yes	<u>\$500</u>	No	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
<u>ECC C504.5</u> 2011 code; <u>C404.5</u> 2014 code; <u>C404.4</u> 2016 code	Class 2	<u>Hot water piping insulation</u> does not meet minimum requirements.	Yes	Yes	<u>\$1,000</u>	No	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>ECC R403.5</u> 2011 and 2014 code; <u>R403.6</u> 2016 code	Class 2	<u>Required dampers are not</u> installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	<u>\$500</u>	No	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
<u>ECC C503.2.4.4</u> 2011 code; <u>C403.2.4.4</u> 2014 code; <u>ECC</u> <u>C403.2.4.3</u> 2016 code	Class 2	<u>Required dampers are not</u> installed/Service water heating equipment efficiency does not meet requirements.	Yes	Yes	<u>\$1,000</u>	No	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>
<u>ECC R403.6</u> 2011 and 2014 code; <u>R403.7</u> 2016 code	Class 2	<u>HVAC equipment size and/or</u> efficiency does not meet minimum requirements.	Yes	Yes	<u>\$500</u>	No	<u>\$2,500</u>	<u>\$1,250</u>	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>
<u>ECC C503.2</u> 2011 code; <u>C403.2</u> 2014 and 2016 code	Class 2	<u>HVAC equipment size and/or</u> efficiency does not meet minimum requirements.	Yes	Yes	<u>\$1,000</u>	No	<u>\$5,000</u>	<u>\$2,500</u>	<u>\$10,000</u>	<u>\$10,000</u>	<u>\$10,000</u>

ECC R404.1	Class 2	<u>Fixtures installed do not meet minimum efficiency requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$5,000	\$10,000
ECC R404.2	Class 2	<u>Failure to meter each dwelling unit separately.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
<u>ECC C505.7 2011 code; C405.7 2014 code; C405.6 2016 code</u>	Class 2	<u>Failure to meter each dwelling unit separately.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
<u>ECC C505.2 2011 code; C405.2 2014 and 2016 code</u>	Class 2	<u>Lighting controls installed do not meet code.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
<u>ECC C505.4 2011 code; C405.4 2014 code; C405.3 2016 code</u>	Class 2	<u>Exit signs in excess of five watts per side.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
<u>ECC C 505.5 2011 code; C405.5 2014 code; C405.4 2016 code</u>	Class 2	<u>Failure to meet interior lighting power requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
<u>ECC C505.6 2011 code; C405.6 2014 code; C405.5 2016 code</u>	Class 2	<u>Failure to meet exterior lighting power requirements.</u>	Yes	Yes	\$2,000	No	\$10,000	\$4,500	\$10,000	\$10,000	\$10,000
<u>ECC C405.9.2 2016 Code</u>	Class 2	<u>Escalator or moving walk fails to comply with ASMEA17.1/CSA or local code when not conveying passengers.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
<u>ECC C405.9.2.1 2016 Code</u>	Class 2	<u>Escalator fails to have a variable frequency regenerative drive as per section requirements.</u>	Yes	Yes	\$1,000	No	\$5,000	\$2,500	\$10,000	\$10,000	\$10,000
ECC – R-Misc	Class 1	<u>Miscellaneous violation of Energy Conservation Code residential provisions.</u>	Yes	Yes	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000

ECC – R-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ECC – R-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code residential provisions.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
ECC – C-Misc	Class 1	Miscellaneous violation of Energy Conservation Code commercial provisions.	No	No	\$3,200	No	\$16,000	\$8,000	\$25,000	\$16,000	\$25,000
ECC – C-Misc.	Class 2	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$1,600	Yes	\$8,000	\$4,000	\$10,000	\$10,000	\$10,000
ECC – C-Misc.	Class 3	Miscellaneous violation of Energy Conservation Code commercial provisions.	Yes	Yes	\$500	Yes	\$500	\$500	\$500	\$500	\$500
PC-Misc, FGC-Misc, MC-Misc	Class 1	Miscellaneous violations.	No	No	\$1,600	No	\$8,000	\$4,000	\$16,000	\$8,000	\$25,000
PC-Misc, FGC-Misc, MC-Misc	Class 2	Miscellaneous violations.	Yes	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
PC-Misc, FGC-Misc, MC-Misc	Class 3	Miscellaneous violations.	Yes	Yes	\$300	Yes	\$500	\$500	\$500	\$500	\$500
RS 6-1	Class 1	Failure to file affidavits and/or comply with other requirements set forth for photoluminescent exit path marking.	No	No	\$2,400	Yes	\$12,000	\$6,000	\$24,000	\$12,000	\$25,000
ZR 11-62	Class 2	Violation of discretionary Zoning conditions on privately owned public space.	No	No	\$4,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
ZR 42-543	Class 1	Outdoor Advertising Company sign in M District exceeds height limit.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-00	Class 2	Illegal use in residential district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000

ZR 22-00	Class 3	Illegal use in residential district.	Yes	Yes	\$300	No	\$500	\$500	\$500	\$500	\$500
ZR 22-32	Class 1	Outdoor Advertising Company has impermissible advertising sign in an R District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 22-342	Class 1	Outdoor Advertising Company sign in R District exceeds height limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 25-41	Class 2	Violation of parking regulations in a residential district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ZR 25-41	Class 3	Violation of parking regulations in a residential district.	Yes	No	\$300	Yes	\$500	\$500	\$500	\$500	\$500
ZR 32-00	Class 2	Illegal use in a commercial district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ZR 32-63	Class 1	Outdoor Advertising Company advertising sign not permitted in specified C District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-64	Class 2	Sign(s) in specified C District exceed(s) surface area restrictions.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
ZR 32-64	Class 1	Outdoor Advertising Company sign(s) in specified C Districts exceed surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 32-652	Class 2	Sign in specified C District extends beyond street line limitation.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
ZR 32-653	Class 2	Prohibited sign on awning, canopy, or marquee in C District.	No	Yes	\$1,200	Yes	\$6,000	\$3,000	\$10,000	\$6,000	\$10,000
ZR 32-655	Class 1	Outdoor Advertising Company sign exceeds permitted height for specified C Dist.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000

ZR 42-00	Class 2	Illegal use in a manufacturing district.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ZR 42-52	Class 1	Outdoor Advertising Sign not permitted in M District.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 42-53	Class 1	Outdoor Advertising sign in M District exceeds surface area limits.	No	No	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR 105-20	Class 2	Damaged or removed a tree within a Special Natural Area District without certification, authorization or special permit.	No	No	\$800	No	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ZR-Misc.	Class 2	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
ZR-Misc.	Class 3	Miscellaneous violations of the Zoning Resolution.	Yes	No	\$300	Yes	\$500	\$500	\$500	\$500	\$500
ZR-Misc.	Class 1	Misc sign violation under the Zoning Resolution by an Outdoor Advertising Company.	No	Yes	\$10,000	Yes	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
ZR-Misc.	Class 2	Misc sign violation under the Zoning Resolution.	No	Yes	\$800	Yes	\$4,000	\$2,000	\$8,000	\$4,000	\$10,000
Misc ₁ – ZR Misc ₁ – Title 28	Class 1	Misc outdoor sign violation of ZR and/or Building Code.	No	No	\$10,000	No	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000
Misc ₂ – ZR Misc ₂ – Title 28	Class 2	Misc outdoor sign violation of ZR and/or Building Code.	No	No	\$2,400	No	\$10,000	\$6,000	\$10,000	\$10,000	\$10,000
Misc. ZR	Class 2	Misc. violation of condition on as of right privately owned public space.	No	No	\$4,000	No	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000